



Virginia Department of
Behavioral Health &
Developmental Services

Provider Data Summary
Semi-Annual Report

State Fiscal Year 2020-2021
May 1, 2020 to October 31, 2020



Provider Data Summary

Semi-Annual Report

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Introduction

This is the fifth Provider Data Summary Report that provides updates on the status of DD Waiver service availability and activities completed by the Office of Provider Development (OPD) in the Division of Developmental Services (DDS) at the Department of Behavioral Health and Developmental Services (DBHDS).

Executive Summary

As with previous reports, the focus is on identifying service development needs based on a review of developmental disability (DD) waiver population and authorization data in each locality in Virginia. The “Baseline Measurement Tool (BMT),” which is used by OPD in conducting this review, has been updated to include the data from 5/1/2020 to 10/31/20 and to realign historical data previously reported as the DBHDS Developmental Services regions with the Primary DBHDS regions. All past data in the BMT has been updated to reflect this change.

Providers are encouraged to review the BMT in conducting market research and in strategic planning efforts. Provider Data Summary webinars continue on a semiannual basis to provide a forum for sharing the results of ongoing analysis of the opportunities for DD services development across all regions. Webinars include a basic overview of findings, provide support on using the data provided, and encourage the development of business acumen in the DD provider community (for more information see <http://www.hcbsbusinessacumen.org/>).

As with previous reports, there is consideration of a subset of DD Waiver services considered to be more integrated or critical, which include: Benefits Planning, Community Coaching, Community Engagement, Community Guide, Electronic Home-Based Services, Employment and Community Transportation, Independent Living Supports, In-home Supports, Peer Mentoring, Shared Living, Supported Living, Crisis Support Services, Private Duty Nursing, Skilled Nursing, and Sponsored Residential.

Following the Executive Summary, this report provides data visualizations in three sections: Key Performance Measures, Regional Data, and Identified Gaps. The Executive Summary provides updates on various efforts to support provider development, the Key Performance Measures

section focuses on measures designed to track Virginia’s success in moving to more integrated options, the Regional Data section provides information specific to each region around availability, and the Identified Gaps section encourages the exploration of opportunities based on barriers identified through the Regional Support Team referral process.

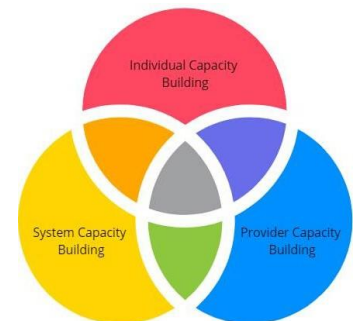
The Provider Data Summary Report provides a means to track provider development efforts and communicate changes observed in the DD services system over time. In order to more effectively accomplish its mission, Provider Development was reorganized into three distinct capacity-building teams at the following levels - Individual, Provider, and System. In February 2020, the reassignment of Community Resource Consultants (CRCs) occurred across these three areas, providing access to one CRC in each capacity-building area per region.

Primary outcomes include:

Individual: People with developmental disabilities live personally meaningful lives in their community of choice.

Provider: Providers of developmental disability waiver services have access to information and technical assistance that supports best practices.

System: DBHDS provides resources for supports coordinators and providers that are based on promising and best practices in supporting people with developmental disabilities in Virginia.



Areas of expertise by capacity building team:

| CRC Contacts by Capacity-Building Focus Area | | |
|---|--|--|
| Individual | Provider | System |
| FOR QUESTIONS ABOUT: ↓ | FOR QUESTIONS ABOUT: ↓ | FOR QUESTIONS ABOUT: ↓ |
| <ul style="list-style-type: none"> • Regional Support Teams (RST) • Home and Community-Based Services Settings Requirements (HCBS) • Mandatory Provider Remediation • State Hospital Moves • Crisis Situations • Constituent Concerns | <ul style="list-style-type: none"> • Person-Centered Practices • Business Acumen • Provider Data Summary • Provider Designation Process • Provider Database • Provider Roundtables • Jump-Start Funding • DSP Orientation/Competencies • Supervisory Training • ISP/Part V Training and TA • Provider Innovation Collaboratives | <ul style="list-style-type: none"> • Charting the Lifecourse© • Support Coordination • SC Manual/Modules • SC Meetings • SC Quality Review Survey • Medicaid Reviews (QMR) • Regional Quality Councils • Provider Readiness Education Program (PREP) • ISP Requirements • DD Waiver Policies and Regulations |

PROVIDER DATA SUMMARY NOVEMBER 2020

Data in this report are compared across two points in time – Baseline 2018 and October 2020 so that a more meaningful understanding of progress can be achieved. This report provides a means to share Virginia's success in meeting measures established under the Settlement Agreement. Measures in this report include:

- Data continues to indicate an annual 2% increase in the overall DD waiver population receiving services in the most integrated settings
- Data continues to indicate that at least 90% of individuals new to the waivers, including for individuals with a "supports need level" of 6 or 7, since FY16 are receiving services in the most integrated setting
- The Data Summary indicates an increase in services available by locality over time
- 86% of people with a DD waiver, who are identified through indicator #13 of III.D.6, desiring a more integrated residential service option (defined as independent living supports, in-home support services, supported living, and sponsored residential) have access to an option that meets their preferences within nine months
- 95% of provider agency staff meet provider orientation training requirements
- 95% of provider agency direct support professionals (DSPs) meet competency training Requirements
- At least 95% of people receiving services/authorized representatives participate in the development of their own service plan
- At least 75% of people with a job in the community chose or had some input in choosing their job
- At least 86% of people receiving services in residential services/their authorized representatives choose or help decide their daily schedule
- At least 75% of people receiving services who do not live in the family home/their authorized representatives chose or had some input in choosing where they live
- At least 50% of people who do not live in the family home/their authorized representatives chose or had some input in choosing their housemates

In addition to the above measures, we have included a variety of data about the individuals in the DD population, as well as the providers who are approved to support them. These additions align with requirements set forth in the Settlement Agreement joint filing from January 2020 (per V.D.6).

DBHDS has continued to make progress with various initiatives designed to improve DD waiver provider capacity in Virginia. The following list highlights the status of Provider Development activities since the last report:

PROVIDER DATA SUMMARY NOVEMBER 2020

The My Life My Community (MLMC) Provider Database and Provider Designation Process were launched on November 15, 2019. All DD Waiver providers are encouraged to register on the database, which will serve as the centralized location for finding DD services in Virginia. As of October 2020, 109 providers registered and have DD Professional Membership at the MLMC Provider Database. Collectively, four providers hold badges in Autism, Accessibility, Behavioral Support, and Complex Health Supports. To date, twenty-one providers have passed surveys, and for some providers the next step is to submit the required evidential documents. Providers can check their status on the database and, if needed, register at the following location:

<http://mylifemycommunityvirginia.org/taxonomy/mlmc-menu-zone/verify-or-register-new-provider-profile>.

In July 2020, the Office of Provider Development held a statewide Provider Roundtable and Support Coordinator webinar in lieu of in-person, regional meetings due to the COVID-19 pandemic. It was attended by 460 participants. These meetings serve as a forum to exchange information about topics impacting providers and support coordination, as well as provide space for shared learning.

Provider Development Activities during the period of May 2020 – October 2020 included Community Resource Consultants meeting with 33 unique providers seeking to diversify or expand services. Region 1 had three providers, Region 2 had five providers, Region 3 had four providers, Region 4 had fifteen providers, and Region 5 had three providers. One new Individual & Family Caregiver Training provider and one new Community Guide provider have started providing services. Providers contacted are noted as considering the following DD Waiver services: Community Housing Guide (1), Benefits Planning (1), Supported Living (3), Independent Living Supports (1), Employment and Community Transportation (1), Peer Mentoring (1), and Individual & Caregiver Training (1). In addition, two organizations are considering providing Electronic Home Based Services in Virginia. Barriers included DMAS finalizing the billing process, COVID-19, waiting on licensing approval, regulatory limitation on Electronic Home Based Services, lack of candidates to hire as Direct Support Professionals, out of state providers starting services in Virginia having to complete additional requirements, and, as with the last report, the lack of regulations and a policy manual.

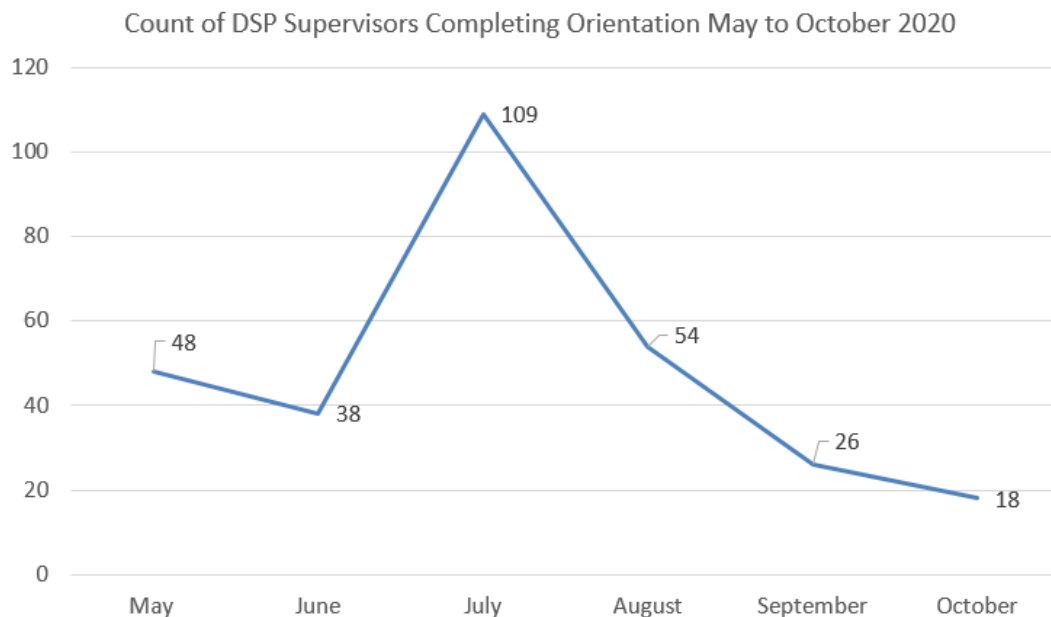
Regarding the status of Virginia's efforts to implement the two remaining waiver services, Employment and Community Transportation forms and processes have been developed, a Medicaid Memo is forthcoming. While there are no authorizations for Peer Mentoring yet, the Arc of Virginia has initiated the training of Peer Mentors. CRCs are available to work with providers interested in being an administrative agency for this service.

PROVIDER DATA SUMMARY NOVEMBER 2020

The Jump-Start Funding Program has awarded approximately \$23,500 during the reporting period. This program continues to create more integrated residential and day services options in underserved areas. Funds are available to assist providers with service expansion in all regions. Provider Development staff have presented program information at regional Provider Roundtables. Information on how to apply is located at <http://dbhds.virginia.gov/developmental-services/provider-development>.

The DSP Supervisory Training was updated and expanded to meet indicators of the DOJ Settlement Agreement, and it was made available on the Commonwealth of Virginia Learning Center (COVLC) July 1, 2020. This training consists of 3 modules that take approximately 2 ½ hours to complete. It is mandatory for new DSP Supervisors and optional for DSP Supervisors who have already received a certificate from completion of the previous version of the training in COVLC.

Supervisory completion rates are included in the chart below. These results consider whether supervisors completed the curriculum (or the modules individually) and reflect the numbers that passed the included knowledge-based test:



In order to continue to adapt to distance learning needs due to the COVID-19 pandemic, the Office of Provider Development continues to work to create virtual methods of providing training to providers throughout the Commonwealth. An Instructor Led Remote (virtual) version of the Community Connections and Person Centered Thinking classes have been developed by

The Learning Community for Person Centered Practices (TLCPCP). To register for one of these classes, visit <http://www.personcenteredpractices.org/>. The Office of Provider Development is currently working alongside the Partnership for People with Disabilities at VCU to adapt the Plan Facilitation curriculum to an Instructor Led (virtual) format, and those trainings will be announced in the coming months.

Next steps for Provider Development include:

- working directly with providers to address barriers to service provision with a concentrated focus on Community Guide, Employment and Community Transportation, Peer Mentoring, Crisis Supports Services, and Skilled Nursing
- updating and posting online the core provider training modules for all DD waiver services
- producing and distributing a searchable PDF version of the DD Case Management Manual, which is currently online at the Partnership for People with Disabilities website
- continued participation in the community of practice initiative around Charting the Lifecourse©
- incorporating Business Acumen practices into provider development efforts
- develop plans for the next Provider Innovation Collaborative to be held after the end of the public health emergency
- increasing the number of providers per region identified as having expertise to support people with complex needs

Notable updates in this report include:

- Since September of 2016, 3,192 people have moved into more integrated settings defined as settings of no more than four people with developmental disabilities
- In the past six months, 47.9% of people at Supports Intensity Scale levels 6 and 7 who enrolled in the DD Waiver are receiving their services in more integrated settings of four or fewer people with DD
- In the past six months, provider counts have decreased in Community Coaching (-3), Electronic Home Based Services (-1), Supported Living (-2), Crisis Support Services (-1), and Skilled Nursing (-1)
- Community Engagement and Community Coaching services have experienced significant decreases during the COVID-19 public health emergency

PROVIDER DATA SUMMARY NOVEMBER 2020

- Despite a decrease in authorizations, Community Engagement increased by 3 providers
- All data in the Baseline Measurement Tool has been updated to reflect the primary DBHDS service regions. Sub region designations have been updated to enable comparisons across reporting periods and to support providers in better understanding the potential customer base in the areas, as well as potential opportunities for program development.

Providers are encouraged to download and use the Baseline Measurement Tool, which contains Waiver Management System data from current waiver authorizations. The BMT provides baseline and subsequent data on integrated services, collected at six month intervals, across all cities and counties in Virginia. The BMT also considers the DD Waiver population in each locality including type of waiver and Supports Intensity Scale© (SIS©) level. The BMT, webinar slideshows, and other materials related to Provider Development are available for download online at <http://www.dbhds.virginia.gov/developmental-services/provider-development>. Any specific questions about the report can be directed to the Office of Provider Development at DBHDS (eric.williams@dbhds.virginia.gov).

Location of the BMT online:

The screenshot shows the website's navigation bar with the following links: Home, Getting Help (circled in red), Offices, About DBHDS, Contact Us, and a language selection icon. Below the navigation bar is a dark menu with three columns of links. The first column is labeled 'BEHAVIORAL HEALTH' and includes links for Community Services Boards (CSBs), Children and Families, Mental Health Services, and Office of Recovery Services. The second column is labeled 'DEVELOPMENTAL SERVICES' and includes links for Early Intervention for Infants and Toddlers, Office of Integrated Health, Developmental Disability Service System, and Individual and Family Support Program (IFSP). The third column includes links for Support Coordination/Case Management Resources, Victims of Sterilization Fund Program, Provider Development (circled in red), and Community Integration and Transition Supports.

Virginia Provider Data Summary

On November 9th, 2017, the Office of Provider Development in the (DBHDS) hosted the first Provider Data Summary webinar as a way the Commonwealth. Provider Data Summary webinars are planned services across all regions. Information from these webinars will be

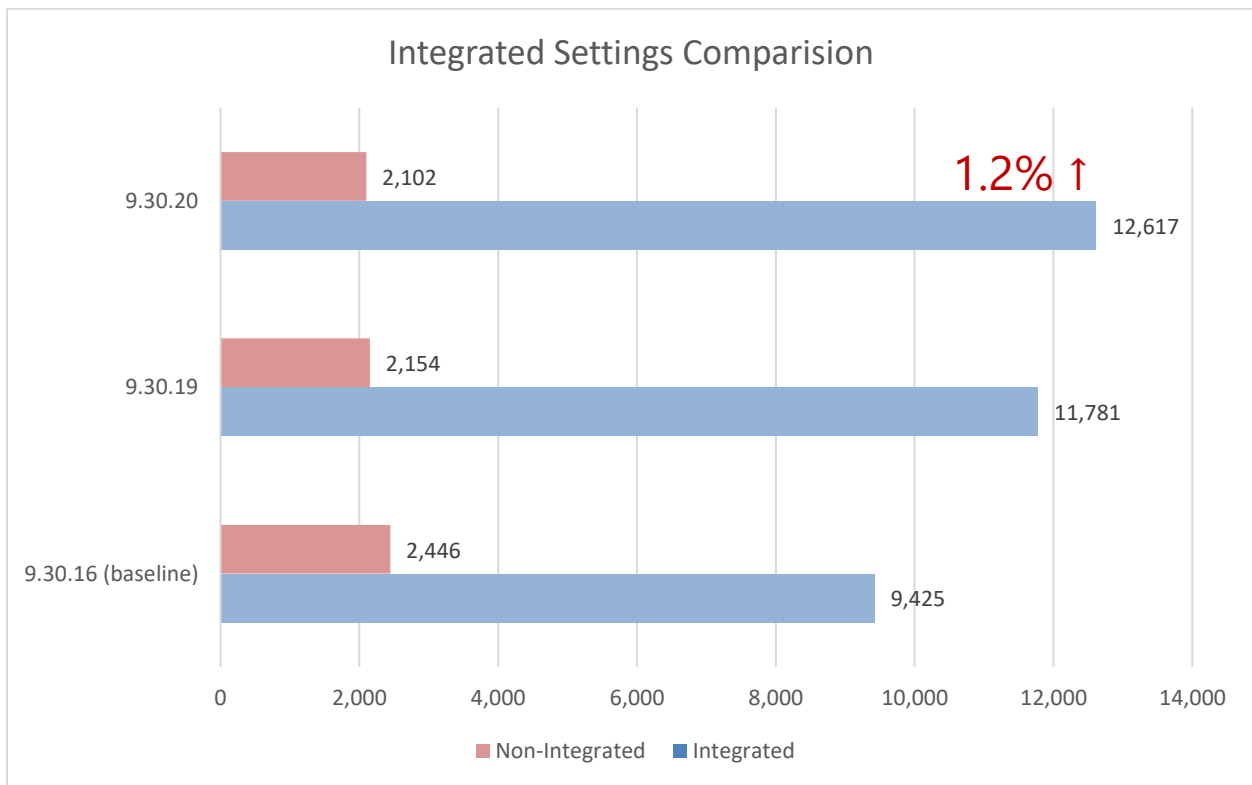


[05.2020 Baseline Measurement Tool](#)
[05.2020 Provider Data Summary Report](#)
[05.2020 Provider Data Summary Slides](#)
[11.2019 Baseline Measurement Tool](#)
[11.2019 Provider Data Summary Report](#)
[11.2019 Provider Data Summary Slides](#)
[Provider Data Summary Report \(June-Nov 18\)](#)

PERFORMANCE MEASURES AND DEMOGRAPHICS

This section contains information about the results of various performance measures and additional data that helps in understanding the DD system of supports and services in Virginia. This content will be included in future reports to provide a more comprehensive understanding of services and people who access them.

Measure 1: Data continues to indicate an annual 2% increase in the overall DD waiver population receiving services in the most integrated settings. The chart below illustrates the overall trend in living situations for people with DD Waiver from September 30, 2019 to September 30, 2020 compared to baseline on September 30, 2016. Over the course of the last year there has been an overall shift of 1.2% toward more integrated settings. From baseline, there has been a total increase toward integrated settings of 6.3%. Measure not met.



| Setting Type | Baseline 9.30.16 | | 9.30.19 | | 9.30.20 | |
|----------------|------------------|------------|---------|------------|---------|------------|
| | Count | Percentage | Count | Percentage | Count | Percentage |
| Integrated | 9,425 | 79.4% | 11,781 | 84.5% | 12,617 | 85.7% |
| Non-integrated | 2,446 | 20.6% | 2,154 | 15.5% | 2,102 | 14.3% |
| Total | 11,871 | 100% | 13,935 | 100% | 14,719 | 100% |

Integrated living situations by locality

| Locality | Integrated | Non-Integrated | Total | %Integrated |
|-----------------------|------------|----------------|--------|-------------|
| Statewide | 12,617 | 2,102 | 14,719 | 85.7% |
| Accomack County | 63 | 6 | 69 | 91.3% |
| Albemarle County | 115 | 24 | 139 | 82.7% |
| Alleghany County | 42 | 5 | 47 | 89.4% |
| Amelia County | 9 | 4 | 13 | 69.2% |
| Amherst County | 174 | 5 | 179 | 97.2% |
| Appomattox County | 37 | 0 | 37 | 100.0% |
| Arlington County | 123 | 39 | 162 | 75.9% |
| Augusta County | 160 | 5 | 165 | 97.0% |
| Bath County | 4 | 5 | 9 | 44.4% |
| Bedford County | 186 | 8 | 194 | 95.9% |
| Bland County | 11 | 0 | 11 | 100.0% |
| Botetourt County | 39 | 3 | 42 | 92.9% |
| Brunswick County | 29 | 4 | 33 | 87.9% |
| Buchanan County | 31 | 1 | 32 | 96.9% |
| Buckingham County | 17 | 0 | 17 | 100.0% |
| Campbell County | 175 | 8 | 183 | 95.6% |
| Caroline County | 45 | 2 | 47 | 95.7% |
| Carroll County | 169 | 27 | 196 | 86.2% |
| Charles City County | 5 | 0 | 5 | 100.0% |
| Charlotte County | 24 | 7 | 31 | 77.4% |
| Chesterfield County | 917 | 204 | 1,121 | 81.8% |
| Alexandria City | 84 | 21 | 105 | 80.0% |
| Bristol City | 34 | 14 | 48 | 70.8% |
| Buena Vista City | 4 | 3 | 7 | 57.1% |
| Charlottesville City | 59 | 27 | 86 | 68.6% |
| Chesapeake City | 384 | 15 | 399 | 96.2% |
| Colonial Heights City | 21 | 3 | 24 | 87.5% |
| Covington City | 15 | 0 | 15 | 100.0% |
| Danville City | 192 | 9 | 201 | 95.5% |
| Emporia City | 6 | 1 | 7 | 85.7% |
| Fairfax City | 5 | 1 | 6 | 83.3% |
| Falls Church City | 2 | 0 | 2 | 100.0% |
| Franklin City | 25 | 1 | 26 | 96.2% |
| Fredericksburg City | 45 | 12 | 57 | 78.9% |
| Galax City | 28 | 5 | 33 | 84.8% |
| Hampton City | 238 | 61 | 299 | 79.6% |
| Harrisonburg City | 64 | 15 | 79 | 81.0% |
| Hopewell City | 36 | 3 | 39 | 92.3% |
| Lexington City | 3 | 0 | 3 | 100.0% |
| Lynchburg City | 210 | 15 | 225 | 93.3% |
| Manassas City | 9 | 8 | 17 | 52.9% |
| Manassas Park City | 3 | 0 | 3 | 100.0% |

PROVIDER DATA SUMMARY NOVEMBER 2020

| Locality | Integrated | Non-Integrated | Total | %Integrated |
|-----------------------|------------|----------------|-------|-------------|
| Martinsville City | 32 | 7 | 39 | 82.1% |
| Newport News City | 243 | 32 | 275 | 88.4% |
| Norfolk City | 429 | 2 | 431 | 99.5% |
| Norton City | 4 | 0 | 4 | 100.0% |
| Petersburg City | 117 | 27 | 144 | 81.3% |
| Poquoson City | 5 | 0 | 5 | 100.0% |
| Portsmouth City | 242 | 37 | 279 | 86.7% |
| Radford City | 17 | 2 | 19 | 89.5% |
| Richmond City | 244 | 61 | 305 | 80.0% |
| Roanoke City | 134 | 8 | 142 | 94.4% |
| Salem City | 32 | 6 | 38 | 84.2% |
| Staunton City | 66 | 0 | 66 | 100.0% |
| Suffolk City | 173 | 16 | 189 | 91.5% |
| Virginia Beach City | 770 | 79 | 849 | 90.7% |
| Waynesboro City | 70 | 5 | 75 | 93.3% |
| Williamsburg City | 20 | 1 | 21 | 95.2% |
| Winchester City | 60 | 25 | 85 | 70.6% |
| Clarke County | 6 | 12 | 18 | 33.3% |
| Craig County | 8 | 0 | 8 | 100.0% |
| Culpeper County | 90 | 6 | 96 | 93.8% |
| Cumberland County | 19 | 9 | 28 | 67.9% |
| Dickenson County | 29 | 6 | 35 | 82.9% |
| Dinwiddie County | 71 | 6 | 77 | 92.2% |
| Essex County | 16 | 4 | 20 | 80.0% |
| Fairfax County | 1,120 | 214 | 1,334 | 84.0% |
| Fauquier County | 81 | 5 | 86 | 94.2% |
| Floyd County | 50 | 1 | 51 | 98.0% |
| Fluvanna County | 21 | 0 | 21 | 100.0% |
| Franklin County | 92 | 14 | 106 | 86.8% |
| Frederick County | 102 | 52 | 154 | 66.2% |
| Giles County | 27 | 7 | 34 | 79.4% |
| Gloucester County | 58 | 35 | 93 | 62.4% |
| Goochland County | 17 | 8 | 25 | 68.0% |
| Grayson County | 36 | 1 | 37 | 97.3% |
| Greene County | 21 | 1 | 22 | 95.5% |
| Greensville County | 11 | 0 | 11 | 100.0% |
| Halifax County | 73 | 17 | 90 | 81.1% |
| Hanover County | 203 | 34 | 237 | 85.7% |
| Henrico County | 508 | 161 | 669 | 75.9% |
| Henry County | 98 | 13 | 111 | 88.3% |
| Highland County | 8 | 0 | 8 | 100.0% |
| Isle of Wight County | 25 | 0 | 25 | 100.0% |
| James City County | 61 | 2 | 63 | 96.8% |
| King and Queen County | 8 | 0 | 8 | 100.0% |
| King George County | 20 | 4 | 24 | 83.3% |
| King William County | 24 | 6 | 30 | 80.0% |
| Lancaster County | 7 | 0 | 7 | 100.0% |
| Lee County | 38 | 0 | 38 | 100.0% |
| Loudoun County | 261 | 22 | 283 | 92.2% |
| Louisa County | 48 | 1 | 49 | 98.0% |

PROVIDER DATA SUMMARY NOVEMBER 2020

| Locality | Integrated | Non-Integrated | Total | %Integrated |
|-----------------------|------------|----------------|-------|-------------|
| Lunenburg County | 12 | 0 | 12 | 100.0% |
| Madison County | 20 | 1 | 21 | 95.2% |
| Mathews County | 18 | 23 | 41 | 43.9% |
| Mecklenburg County | 55 | 41 | 96 | 57.3% |
| Middlesex County | 16 | 11 | 27 | 59.3% |
| Montgomery County | 126 | 0 | 126 | 100.0% |
| Nelson County | 23 | 13 | 36 | 63.9% |
| New Kent County | 17 | 4 | 21 | 81.0% |
| Northampton County | 51 | 0 | 51 | 100.0% |
| Northumberland County | 9 | 0 | 9 | 100.0% |
| Nottoway County | 17 | 1 | 18 | 94.4% |
| Orange County | 60 | 5 | 65 | 92.3% |
| Page County | 23 | 6 | 29 | 79.3% |
| Patrick County | 21 | 5 | 26 | 80.8% |
| Pittsylvania County | 168 | 0 | 168 | 100.0% |
| Powhatan County | 41 | 0 | 41 | 100.0% |
| Prince Edward County | 45 | 33 | 78 | 57.7% |
| Prince George County | 64 | 7 | 71 | 90.1% |
| Prince William County | 433 | 101 | 534 | 81.1% |
| Pulaski County | 62 | 1 | 63 | 98.4% |
| Rappahannock County | 8 | 1 | 9 | 88.9% |
| Richmond County | 54 | 13 | 67 | 80.6% |
| Roanoke County | 221 | 22 | 243 | 90.9% |
| Rockbridge County | 50 | 11 | 61 | 82.0% |
| Rockingham County | 103 | 46 | 149 | 69.1% |
| Russell County | 44 | 21 | 65 | 67.7% |
| Scott County | 42 | 17 | 59 | 71.2% |
| Shenandoah County | 77 | 32 | 109 | 70.6% |
| Smyth County | 79 | 2 | 81 | 97.5% |
| Southampton County | 19 | 0 | 19 | 100.0% |
| Spotsylvania County | 235 | 36 | 271 | 86.7% |
| Stafford County | 174 | 60 | 234 | 74.4% |
| Surry County | 3 | 0 | 3 | 100.0% |
| Sussex County | 17 | 20 | 37 | 45.9% |
| Tazewell County | 63 | 15 | 78 | 80.8% |
| Warren County | 45 | 0 | 45 | 100.0% |
| Washington County | 82 | 8 | 90 | 91.1% |
| Westmoreland County | 16 | 0 | 16 | 100.0% |
| Wise County | 88 | 14 | 102 | 86.3% |
| Wythe County | 66 | 9 | 75 | 88.0% |
| York County | 59 | 2 | 61 | 96.7% |
| Locality Unknown | 34 | 1 | 35 | 97.1% |

Measure 2: Data continues to indicate that at least 90% of individuals new to the waivers, including for individuals with a “supports need level” of 6 or 7, since FY16 are receiving services in the most integrated setting. The tables below provides data that illustrates that 78.3% of all people new to the DD waivers reside in integrated settings and among those, 47.9% of people with Supports Intensity Scale 6 or 7 receive services in integrated settings. At 78.3%, measure not met.

Main Period = 5/1/2020 thru 10/31/2020
 Post Period = 11/1/2020 thru 12/31/2020
 SIS %age Capture Date = 10/31/2020 (from DS Dashboard)

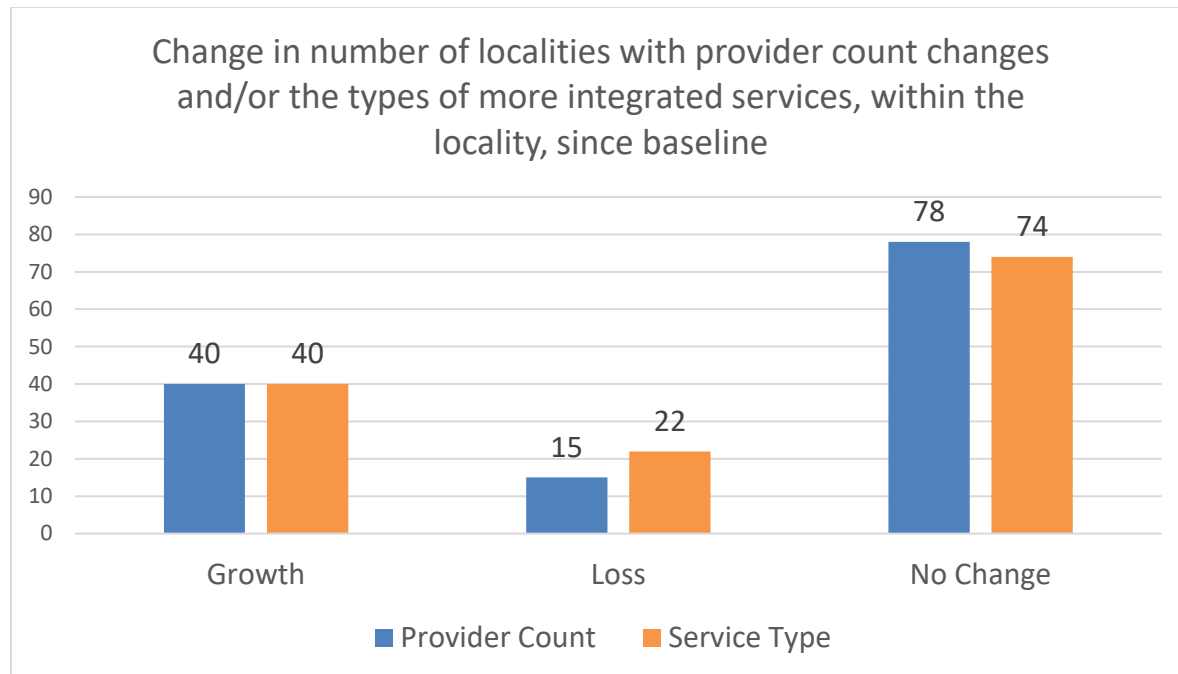
Table 1. "NewInt%" = Percent of individuals newly Active in the Main Period who have an approved service authorization in the Post Period and who are Integrated Residential in the Post Period:

| Step | Term | Statistic | Note |
|------|-------------|--------------|--|
| 1N. | Numerator | 468 | Count of unique individuals. Note 2. |
| 1D. | Denominator | 598 | Count of unique individuals newly Active in Main Period and Active as of end of Main Period. |
| 1A. | Answer | 78.3% | "NewInt%" |

Table 2. NewInt6or7%" = Percent of individuals newly Active in the Main Period who have an approved service authorization in the Post Period and a SIS Level 6 or 7 and who are Integrated Residential in the Post Period:

| Step | Term | Statistic | Note |
|------|-------------|--------------|---|
| 2N. | Numerator | 46 | Count of unique individuals. Note 3. |
| 2D. | Denominator | 96 | of 1D, those expected to be SIS Level 6 or 7. Note 4. |
| 2A. | Answer | 47.9% | "NewInt6or7%" |

Measure 3: The Data Summary indicates an increase in services available by locality over time. This chart reports the number of localities demonstrating an increase in the number of providers, within the locality, offering more integrated or specialized services above the established baseline and/or showing an increase in the number of integrated or specialized service types offered, within the locality, above the established baseline. Data reflects the comparison in numbers between October 2020 and June 2018. When considering both factors, there has been an increase in the number of localities by 30.1% for increasing provider count and service type. Changes in reporting this measure will enable trend reports over time in subsequent reporting. Measure met.

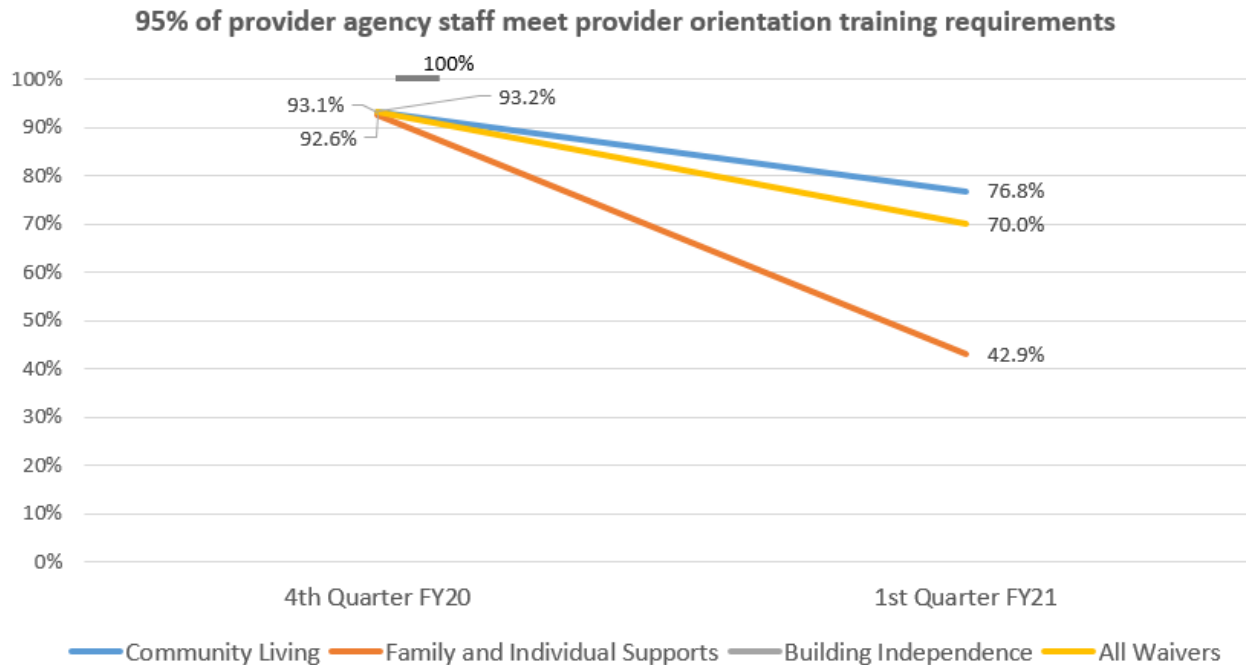


Measure 4: 86% of people with a DD waiver, who are identified through indicator #13 of III.D.6, desiring a more integrated residential service option (defined as independent living supports, in-home support services, supported living, and sponsored residential) have access to an option that meets their preferences within nine months.

In the 1st Quarter, two individuals referred to the RST were identified upon referral with Barrier 2 defined as “Services and activities unavailable in desired location.” The first instance, reported in Region 4, was resolved when the person moved into a sponsored residential home. In the second instance, reported in Region 3, a person is living at home with personal assistance and private duty nursing services. The individual would like additional private duty nursing services however remain living in their own home at this time. Second quarter data is pending, which will be included in the next report. In both instances where this barrier occurred, the situations were resolved to individual satisfaction. Measure met.

Measure 5: 95% of provider agency staff meet provider orientation training requirements.

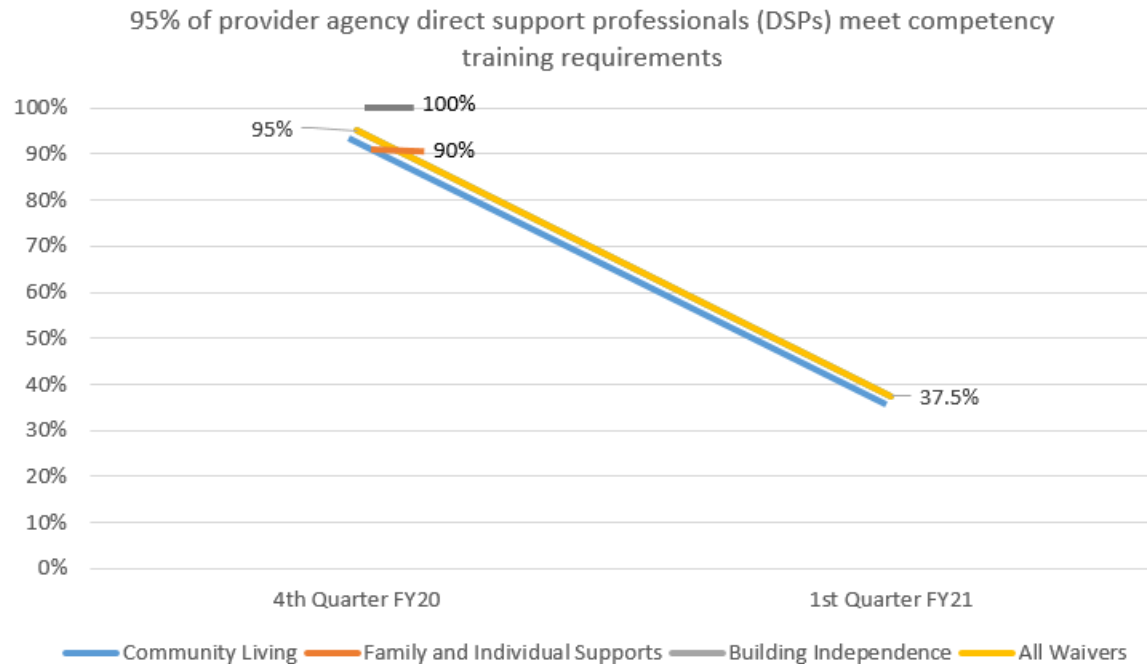
Quality Review Team data demonstrates a decrease in compliance from 4th quarter FY19 to 1st quarter FY20 reporting. Reporting across both quarters is reflected in the chart below, no record reviews reported for the Building Independent Waiver in the 1st quarter FY21. Considered together, results fall below the 95% target. Measure not met.



| Waver Type | 4 th Quarter FY20 | 1 st Quarter FY21 |
|--------------------------------|------------------------------|------------------------------|
| Community Living | 81/87 93.1% | 43/56 76.8% |
| Family and Individual Supports | 25/27 92.6% | 6/14 42.9% |
| Building Independence | 4/4 100% | 0/0 |
| All Waivers | 110/118 93.2% | 49/70 70% |

Measure 6: 95% of provider agency direct support professionals (DSPs) meet competency training requirements. Quality Review Team data demonstrates a decrease in compliance from 4th quarter FY19 to 1st quarter FY20 reporting. Reporting across both quarters is reflected in the chart below, no record reviews reported for the Family and Individual Supports and Building Independent Waiver in the 1st quarter FY21. Considered together, results fall below the 95% target. Measure not met.

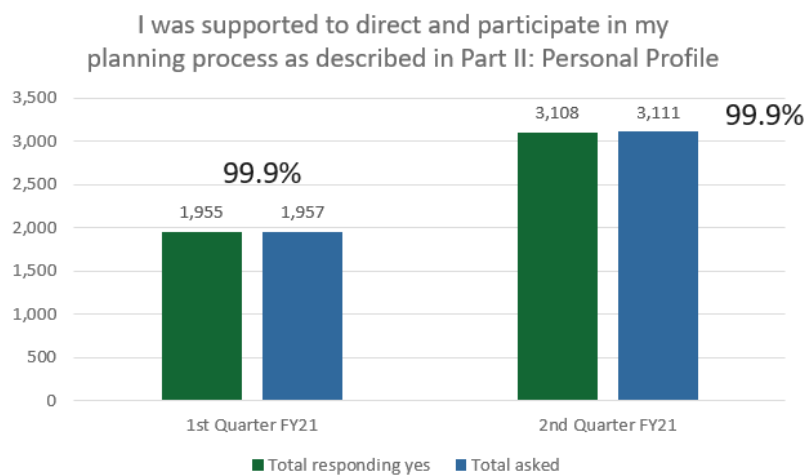
PROVIDER DATA SUMMARY NOVEMBER 2020



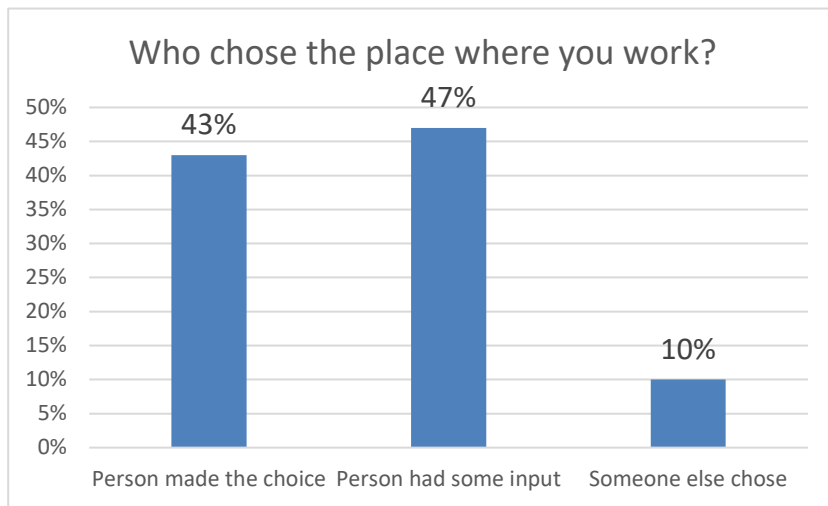
| Waver Type | 4 th Quarter FY20 | 1 st Quarter FY21 |
|--------------------------------|------------------------------|------------------------------|
| Community Living | 79/83 95.2% | 15/40 37.5% |
| Family and Individual Supports | 9/10 90% | 0/0 |
| Building Independence | 4/4 100% | 0/0 |
| All Waivers | 92/97 95% | 15/40 37.5% |

Measure 7: At least 95% of people receiving services in residential settings/their authorized representatives participate in the development of their own service plan.

Consistently above 99% for the first and second quarters FY21. Measure met.

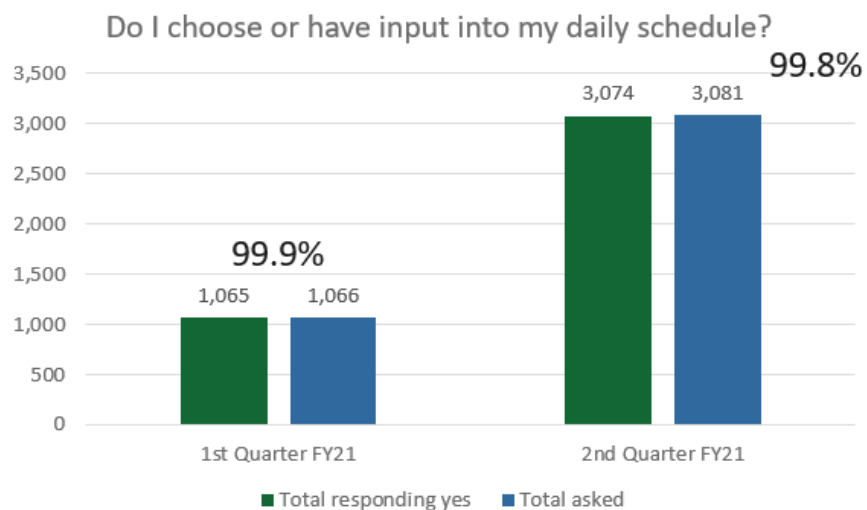


Measure 8: At least 75% of people with a job in the community chose or had some input in choosing their job. The following chart is derived from the National Core Indicators In-Person Survey (IPS) State Report 2019-20 Virginia (VA) Report. Results indicate that a combined 90% of those surveyed who had a job (n=51) either chose or had some input on choosing their job. Measure met.

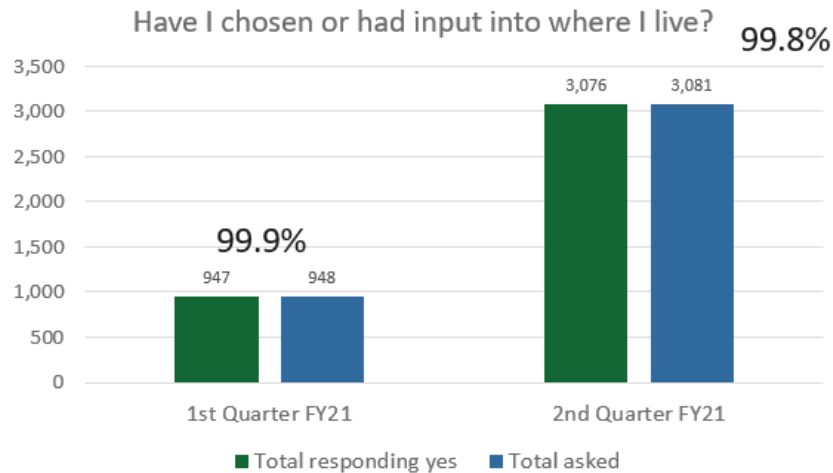


Source: NCI

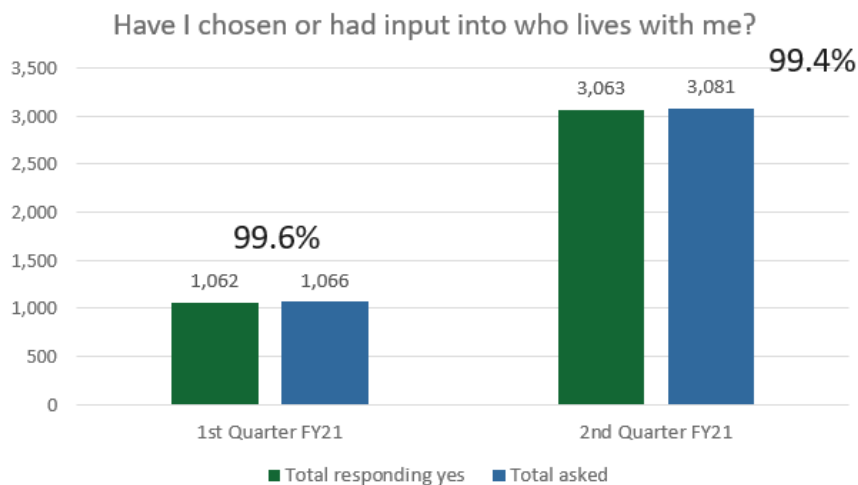
Measure 9: At least 86% of people receiving services in residential settings/their authorized representatives choose or help decide their daily schedule. Consistently above 99% for the first and second quarters FY21. Measure met.



Measure 10: At least 75% of people receiving services who do not live in the family home/their authorized representatives chose or had some input in choosing where they live. Consistently above 99% for the first and second quarters FY21. Measure met.



Measure 11: At least 50% of people who do not live in the family home/their authorized representatives chose or had some input in choosing their housemates. Consistently above 99% for the first and second quarters FY21. Measure met.



Demographics

In order to understand the composition of the DD waiver and waiting list, the following section includes data specific to the types of services received, including who receives them and where they are provided. Regional data is provided when available.

Individuals on the Waiver Waiting List

The two following tables provide information about people on the DD waiver waiting list. You will find a breakdown of DBHDS regions by priority (table 1) and by time on the list (table 2).

Table 1. Count of Individuals on Waitlist by DBHDS Region and Priority

| DBHDS Region | Priority 1 | Priority 2 | Priority 3 | Total | Percent |
|----------------------|--------------|--------------|--------------|---------------|-------------|
| 1 | 885 | 782 | 772 | 2,439 | 19% |
| 2 | 1,093 | 2,136 | 1,062 | 4,291 | 33% |
| 3 | 302 | 840 | 585 | 1,727 | 13% |
| 4 | 603 | 1,503 | 463 | 2,569 | 20% |
| 5 | 248 | 979 | 858 | 2,085 | 16% |
| Unknown ¹ | 1 | 1 | 1 | 3 | 0% |
| Total | 3,132 | 6,241 | 3,741 | 13,114 | 100% |
| Percent | 24% | 48% | 29% | 100% | |

Table 2. Waitlist by Time on Waitlist and Age

| Time on Waitlist ² | Age | | | | Total | Percent |
|-------------------------------|--------------|---------------|---------------|-----------|---------------|-------------|
| | <18.0 | 18.0 to <22.0 | 22.0 to <65.0 | 65+ | | |
| <1.0 year | 1,306 | 326 | 420 | 11 | 2,063 | 16% |
| 1.0 to <3.0 years | 2,766 | 782 | 929 | 27 | 4,504 | 34% |
| 3.0 to <5.0 years | 1,469 | 386 | 511 | 8 | 2,374 | 18% |
| 5.0 to <10.0 years | 1,525 | 502 | 929 | 21 | 2,977 | 23% |
| 10+ years | 240 | 219 | 710 | 27 | 1,196 | 9% |
| Total | 7,306 | 2,215 | 3,499 | 94 | 13,114 | 100% |
| % | 56% | 17% | 27% | 1% | 100% | |

Notes:

1. Region is determined by CSB. These individuals do not have a CSB assigned and active.
2. Time on Waitlist considers only the most recent time on Waitlist for each individual.

Residential setting by size and type as defined by the Integrated Residential Services Report

Comparison of living situations between 9.30.16 and 9.30.20

| Settlement Living Situation | Integrated Setting | Baseline 9.30.2016 | | 9.30.2020 | | # Trend | % Change from Baseline |
|---|--------------------|--------------------|---------------|---------------|---------------|---------|------------------------|
| | | # | % | # | % | | |
| Group Home (Less than or equal to 4 bed) | Yes | 2,189 | 18.4% | 2,923 | 19.9% | | + 34% |
| Other Group Home (greater than 4 bed) | No | 2,446 | 20.6% | 2,102 | 14.3% | | - 14% |
| Sponsored Residential | Yes | 1,513 | 12.7% | 1,894 | 12.9% | | + 25% |
| Supported Living | Yes | 50 | 0.4% | 223 | 1.5% | | + 346% |
| Living with Family * | Yes | 5,459 | 46.0% | 6,797 | 46.2% | | + 25% |
| Living Independently | Yes | 214 | 1.8% | 670 | 4.6% | | + 213% |
| Building Independence * | Yes | 0 | 0.0% | 110 | 0.7% | | + infinity |
| Total | | 11,871 | 100.0% | 14,719 | 100.0% | | + 24% |

Day services by type as defined by the Integrated Day Services Report

Comparison of day situations between 9.30.16 and 9.30.20

| Service | Procedure Code | Baseline 9.30.2016 | 9.30.2020 | % change from Baseline |
|---------------------------------|----------------|--------------------|-----------|------------------------|
| Individual Supported Employment | H2023 | 295 | 887 | + 201% |
| Group Supported Employment | H2024x | 701 | 452 | - 36% |
| Workplace Assistance | H2025 | 6 | 66 | + 1,000% |
| Community Engagement | T2021 | 130 | 2,435 | + 1,773% |
| Community Coaching | 97127x,T2013x | 7 | 279 | + 3,886% |

Additional population demographics

In order to understand the composition of the DD waiver, the following tables include data specific to the types of services received, including who receives them and where they are provided. Regional data is provided when available.

| Demographic | Total | Region 1 | Region 2 | Region 3 | Region 4 | Region 5 |
|--|-------|----------|----------|----------|----------|----------|
| Number of Individuals in all DD waivers | 14862 | 3226 | 2477 | 2672 | 3069 | 3418 |
| Number of Individuals with BI Waiver | 329 | 42 | 54 | 69 | 77 | 87 |
| Number of Individuals with FIS Waiver | 3021 | 686 | 758 | 421 | 491 | 665 |
| Number of Individuals with CL Waiver | 11512 | 2498 | 1665 | 2182 | 2501 | 2666 |
| Number of Individuals in Training Centers | 77 | 0 | 0 | 0 | 0 | 77 |
| Number of children residing in ICF/IIDs | 111 | | | | | |
| Number of children residing in NFs | 55 | | | | | |
| Number of adults residing in ICF/IIDs | 377 | | | | | |
| Number of adults residing in NFs | 104 | | | | | |
| Number of Individuals in independent housing | 1470 | | | | | |

Additional service capacity demographics

The following chart provides information about the availability of specific services.

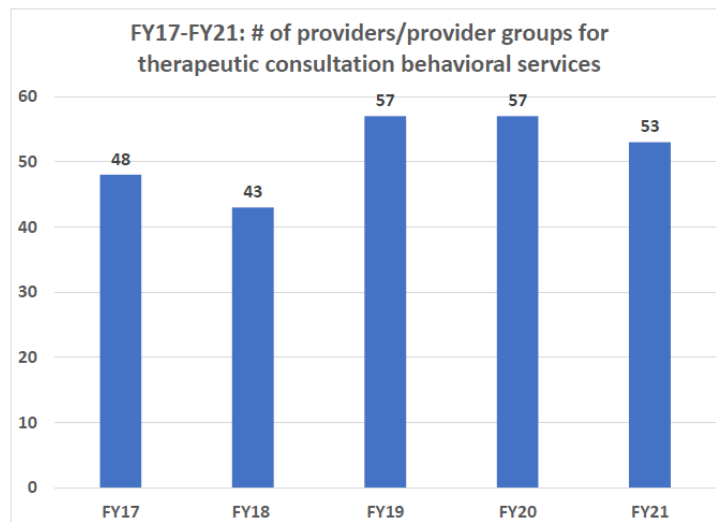
| Demographic | Total | Region 1 | Region 2 | Region 3 | Region 4 | Region 5 |
|--|-------|----------|----------|----------|----------|----------|
| Number of licensed DD providers | 762 | 100 | 140 | 87 | 206 | 229 |
| Number of providers of supported employment | 56 | | | | | |
| Number of ICF/IID non-state operated beds for children | 116 | | | | | |
| Number of ICF/IID non-state operated beds for adults | 404 | | | | | |
| Number of independent housing options | 993 | | | | | |

DD Waiver Service Authorizations

Table 1. Total number of unique individuals authorized for each Service Type, Total and by DBHDS Primary Region

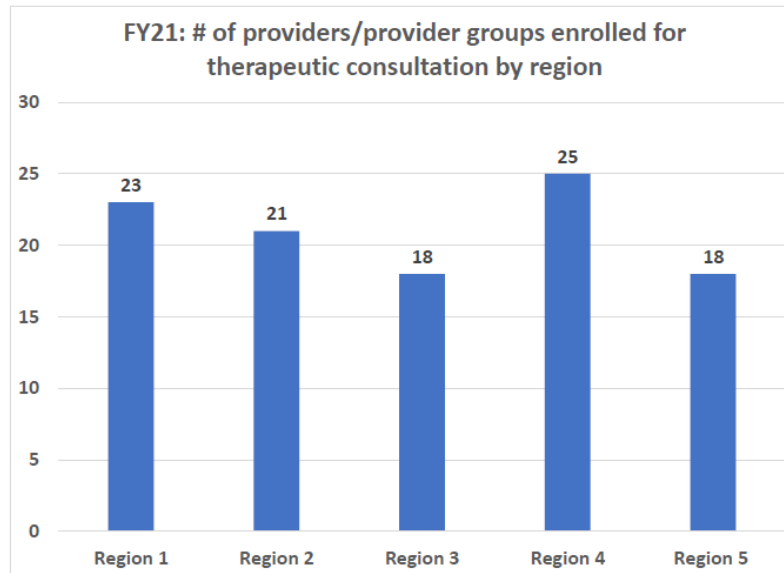
| Service Type | Distinct Total | Region 1 | Region 2 | Region 3 | Region 4 | Region 5 |
|--|----------------|----------|----------|----------|----------|----------|
| Assistive Technology; PERS | 342 | 116 | 81 | 25 | 56 | 64 |
| Benefits Planning Services | 156 | 71 | 42 | 6 | 14 | 23 |
| Center-Based Crisis Supports | 62 | 23 | 10 | 17 | 7 | 5 |
| Community Coaching | 356 | 106 | 45 | 86 | 62 | 57 |
| Community Engagement | 2,886 | 967 | 318 | 642 | 377 | 582 |
| Community Guide | 54 | 22 | 24 | 3 | 1 | 4 |
| Community-Based Crisis Supports | 115 | 26 | 36 | 26 | 22 | 5 |
| Companion | 212 | 24 | 136 | 3 | 5 | 44 |
| Companion - CD | 914 | 285 | 137 | 136 | 207 | 149 |
| Crisis Support Services | 122 | 7 | 16 | 90 | 7 | 2 |
| Electronic-based Home Supports | 39 | 18 | 20 | - | 1 | - |
| Employment & Community Transportation | - | - | - | - | - | - |
| Environmental Modifications (2 Codes) | 100 | 32 | 17 | 12 | 11 | 28 |
| Group Day Support | 6,884 | 1,497 | 1,077 | 944 | 1,706 | 1,660 |
| Independent Living Supports | 128 | 12 | 36 | 22 | 23 | 35 |
| Individual & Family Caregiver Training | 11 | - | - | - | 1 | 10 |
| In-home Supports (5 Codes) | 2,027 | 315 | 244 | 482 | 296 | 690 |
| Integrated Group Residential | 3,041 | 515 | 542 | 407 | 809 | 768 |
| NonIntegrated Group Residential | 2,258 | 483 | 436 | 333 | 607 | 399 |
| Peer Mentoring | - | - | - | - | - | - |
| Personal Assistance - AD | 816 | 95 | 470 | 68 | 60 | 123 |
| Personal Assistance - CD | 3,643 | 1,052 | 589 | 791 | 614 | 597 |
| Private Duty Nursing | 347 | 33 | 142 | 40 | 61 | 71 |
| Respite | 4,077 | 1,064 | 937 | 726 | 632 | 718 |
| Shared Living | 3 | - | 1 | 1 | 1 | - |
| Skilled Nursing | 315 | 64 | 49 | 117 | 12 | 73 |
| Sponsored Residential | 2,118 | 571 | 100 | 554 | 308 | 585 |
| Supported Employment, Group (4 Codes) | 561 | 54 | 155 | 16 | 225 | 111 |
| Supported Employment, Individual | 1,020 | 334 | 163 | 172 | 238 | 113 |
| Supported Living | 155 | 41 | 12 | 4 | 77 | 21 |
| Therapeutic Consultation (3 Codes) | 1,677 | 303 | 421 | 245 | 381 | 327 |
| Transition Services | - | - | - | - | - | - |
| Workplace Assistance | 83 | 40 | 4 | 10 | 29 | - |
| Distinct Total: | 14,631 | 3,177 | 2,462 | 2,651 | 2,988 | 3,353 |

Behavioral Services Providers



PROVIDER DATA SUMMARY NOVEMBER 2020

The data above display the number of providers and/or provider organizations providing therapeutic consultation behavioral services over the past five fiscal years (note: FY21 data is through September 2020). It should be noted that the counts presented may display individual practitioners that have a solo practice consisting of one behaviorist, as well as larger provider groups that have many behaviorists employed and are providing this waiver service.



The graph above displays the number of providers and/or provider organizations by region that are providing therapeutic consultation behavioral services to individuals in FY21 (note: data are through September 2020). When reviewing these data, it should be noted that numerous providers deliver services across multiple regions of the state, thus a total count of providers in the histogram above would exceed the total number of providers that are delivering this service.

Children’s and Youth Residential

In order to enhance the information provided through this report, DBHDS will focus on a special topic or area that may be shared once for information and review, or may contribute to ongoing analysis. This report introduces new information about children and youth residential services. DBDHS will be collecting suggestions from providers participating in the semi-annual webinars for future topics to ensure new content is tailored to community interests and needs. Based on the information provided here, showing the regional breakdown of providers and number of children/youth supported, a limited number of children currently receive congregate residential services under the DD waiver in Virginia with none receiving these services in regions 1 or 2 currently. DBHDS will collect feedback from providers on how this information can be enhanced in future reports. The data provided in the two tables below are based on approved service authorization lines over the report period from May 1, 2020 thru October 31, 2020. The region determined by service zip code and provider tax identifier for individuals from birth age less than 18 as of October 31, 2020.

Table 1. Counts of distinct Individuals by Authorization and Region.

| Approved Authorization | Primary_DBHDS_Region | | | | | Distinct Total | % |
|------------------------|----------------------|----|-----|-----|-----|----------------|------|
| | 1 | 2 | 3 | 4 | 5 | | |
| Group Home 4 or fewer | 0 | 0 | 0 | 2 | 0 | 2 | 8% |
| Group Home 5 or more | 0 | 0 | 0 | 7 | 0 | 7 | 27% |
| Sponsored Residential | 0 | 0 | 5 | 3 | 10 | 18 | 69% |
| Distinct Total | 0 | 0 | 5 | 12 | 10 | 26 | 100% |
| Percent | 0% | 0% | 19% | 46% | 38% | 100% | |

Table 2. Counts of Providers (distinct TaxIdentifiers) by Authorization and Region.
For those Authorizations listed in Table 1.

| Approved Authorization | Primary_DBHDS_Region | | | | | Distinct Total | % |
|------------------------|----------------------|----|-----|-----|-----|----------------|------|
| | 1 | 2 | 3 | 4 | 5 | | |
| Group Home 4 or fewer | 0 | 0 | 0 | 2 | 0 | 2 | 20% |
| Group Home 5 or more | 0 | 0 | 0 | 1 | 0 | 1 | 10% |
| Sponsored Residential | 0 | 0 | 4 | 2 | 3 | 7 | 70% |
| Distinct Total | 0 | 0 | 4 | 5 | 3 | 10 | 100% |
| Percent | 0% | 0% | 40% | 50% | 30% | 100% | |

Provenance is available.

Distinct Totals are not additive: Due to service or provider changes during the reporting period, the same Individual or Provider may appear in more than one category.

For the same reason, Percents add to more than 100%.

REGIONAL DATA

In order to increase a provider's ability to consider service expansion, this section reports availability across four subareas in each region. The data is based on the numbers and lettering detailed below. In addition, these subareas are incorporated into the Baseline Measurement Tool for easy sorting.

Note: Sub regions have been realigned with the DBHDS Primary Regions

Region I

| 1-A | 1-B | 1-C | 1-D |
|---------------------|-------------------|-------------------|----------------------|
| Caroline County | Augusta County | Harrisonburg City | Nelson County |
| Fredericksburg City | Highland County | Rockingham County | Louisa County |
| King George County | Staunton City | Frederick County | Albemarle County |
| Spotsylvania County | Waynesboro City | Page County | Charlottesville City |
| Stafford County | Alleghany County | Shenandoah County | Fluvanna County |
| Culpeper County | Covington City | Warren County | Greene County |
| Madison County | Bath County | Winchester City | Amherst County |
| Orange County | Buena Vista City | Clarke County | Appomattox County |
| Fauquier County | Lexington City | | Bedford County |
| Rappahannock County | Rockbridge County | | Campbell County |
| | | | Lynchburg City |

Region II

| 2-A | 2-B | 2-C | 2-D |
|------------------|-------------------|----------------|-----------------------|
| Alexandria City | Fairfax City | Loudoun County | Manassas City |
| Arlington County | Fairfax County | | Manassas Park City |
| | Falls Church City | | Prince William County |

Region III

| 3-A | 3-B | 3-C | 3-D |
|-------------------|---------------------|----------------|-------------------|
| Botetourt County | Franklin County | Carroll County | Buchanan County |
| Craig County | Danville City | Galax City | Russell County |
| Roanoke City | Pittsylvania County | Grayson County | Tazewell County |
| Roanoke County | Henry County | Bland County | Dickenson County |
| Salem City | Martinsville City | Wythe County | Bristol City |
| Giles County | Halifax County | Floyd County | Washington County |
| Montgomery County | Mecklenburg County | Pulaski County | Smyth County |
| | Brunswick County | Radford City | Lee County |
| | Patrick County | | Norton City |
| | | | Scott County |
| | | | Wise County |

PROVIDER DATA SUMMARY NOVEMBER 2020

Region IV

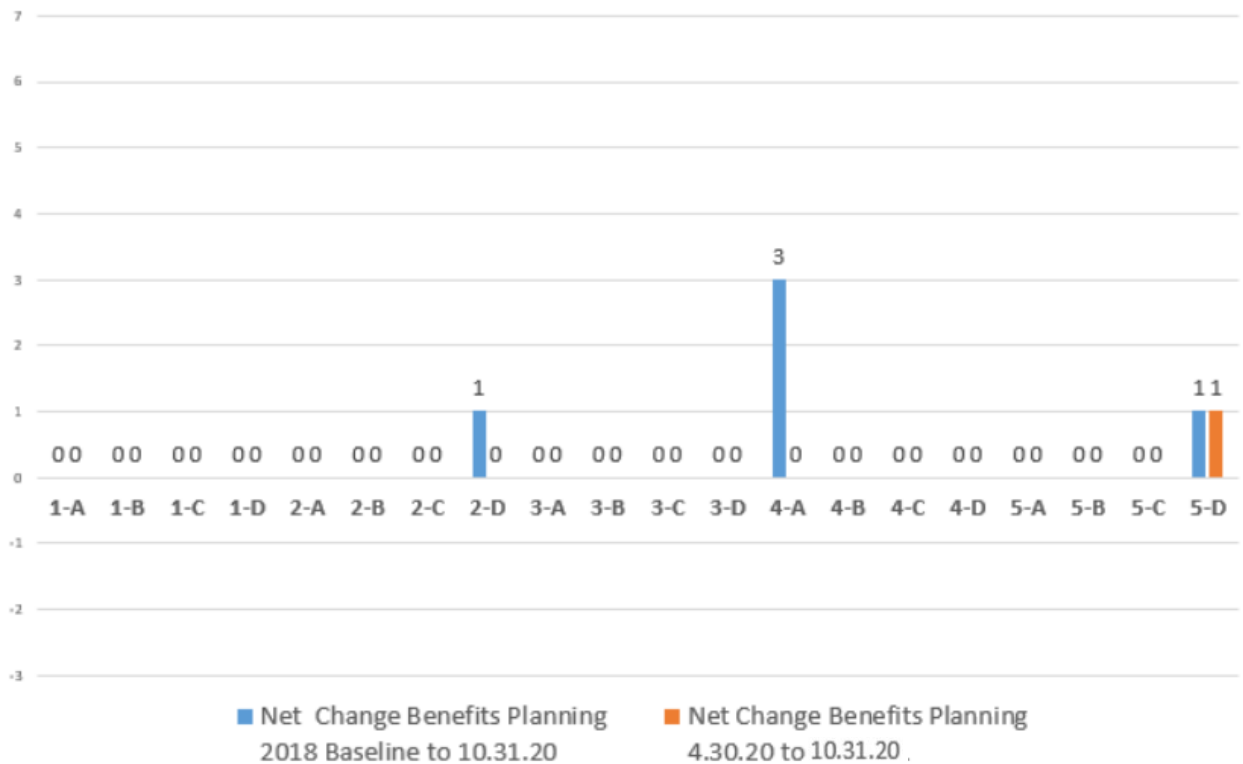
| 4-A | 4-B | 4-C | 4-D |
|-----------------------|-------------------|----------------------|----------------------|
| Chesterfield County | Amelia County | Charlotte County | Dinwiddie County |
| Colonial Heights City | Buckingham County | Lunenburg County | Greensville County |
| Hanover County | Cumberland County | Nottoway County | Hopewell City |
| Charles City County | Goochland County | Prince Edward County | Petersburg City |
| Henrico County | Powhatan County | Emporia City | Prince George County |
| New Kent County | | | Surry County |
| Richmond City | | | Sussex County |

Region V

| 5-A | 5-B | 5-C | 5-D |
|-----------------------|--------------------|-------------------|----------------------|
| Essex County | Accomack County | James City County | Chesapeake City |
| Gloucester County | Northampton County | Poquoson City | Norfolk City |
| King and Queen County | | Williamsburg City | Portsmouth City |
| King William County | | York County | Virginia Beach City |
| Lancaster County | | Hampton City | Franklin City |
| Mathews County | | Newport News City | Isle of Wight County |
| Middlesex County | | | Southampton County |
| Northumberland County | | | Suffolk City |
| Richmond County | | | |
| Westmoreland County | | | |

PROVIDER DATA SUMMARY NOVEMBER 2020

Benefits Planning Net Provider Change



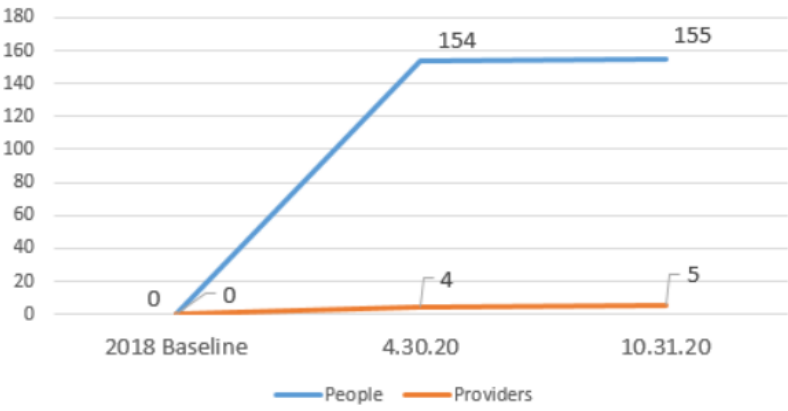
Benefits Planning Providers Total (10.31.20)

| | |
|-----|---|
| 1-A | 0 |
| 1-B | 0 |
| 1-C | 0 |
| 1-D | 0 |
| 2-A | 0 |
| 2-B | 0 |
| 2-C | 0 |
| 2-D | 1 |
| 3-A | 0 |
| 3-B | 0 |
| 3-C | 0 |
| 3-D | 0 |
| 4-A | 3 |
| 4-B | 0 |
| 4-C | 0 |
| 4-D | 0 |
| 5-A | 0 |
| 5-B | 0 |
| 5-C | 0 |
| 5-D | 1 |

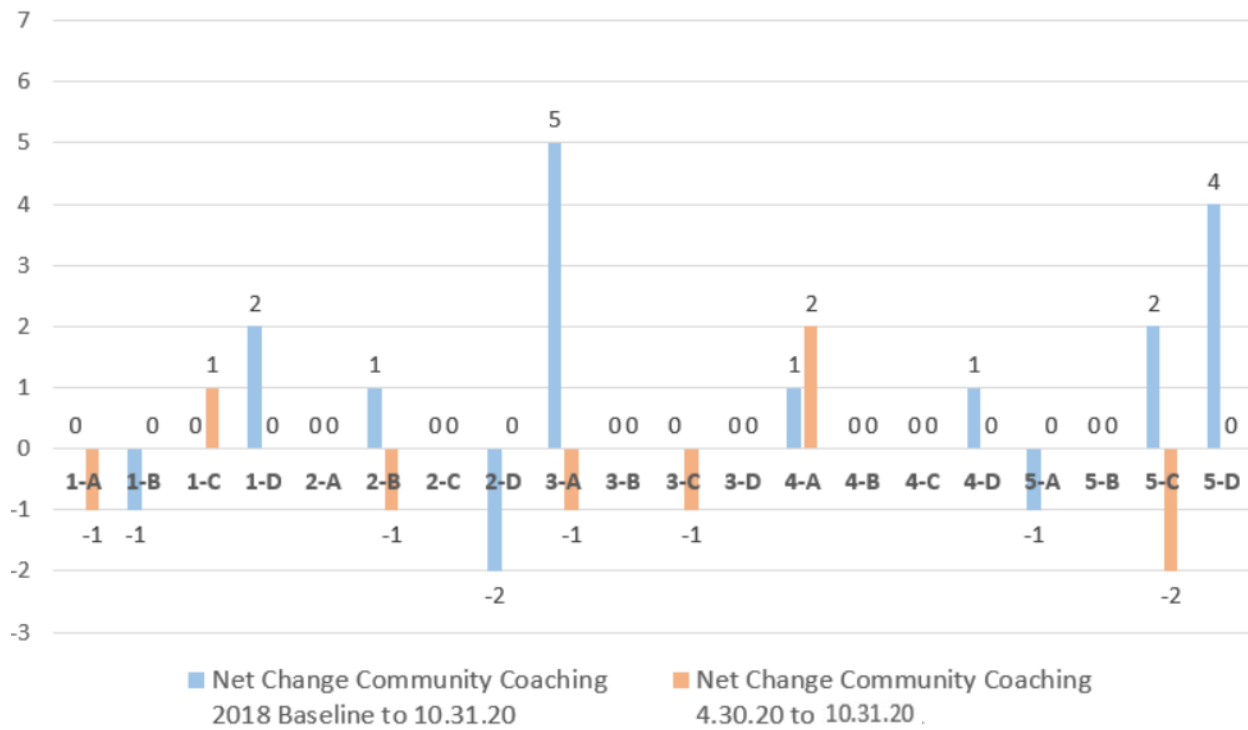
Benefits Planning

| Total People Authorized on 10.31.20 | Change in People Authorized from 2018 baseline to 10.31.20 | Overall Net Unique Provider Change from 2018 baseline to 10.31.20 |
|-------------------------------------|--|---|
| 155 | +155 | +5 |

Service Change: # of People and # of Providers



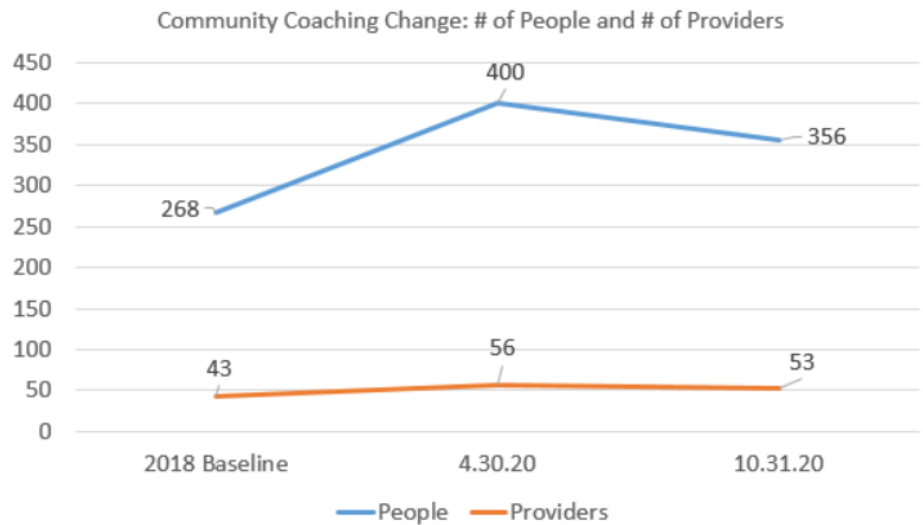
Community Coaching Net Provider Change



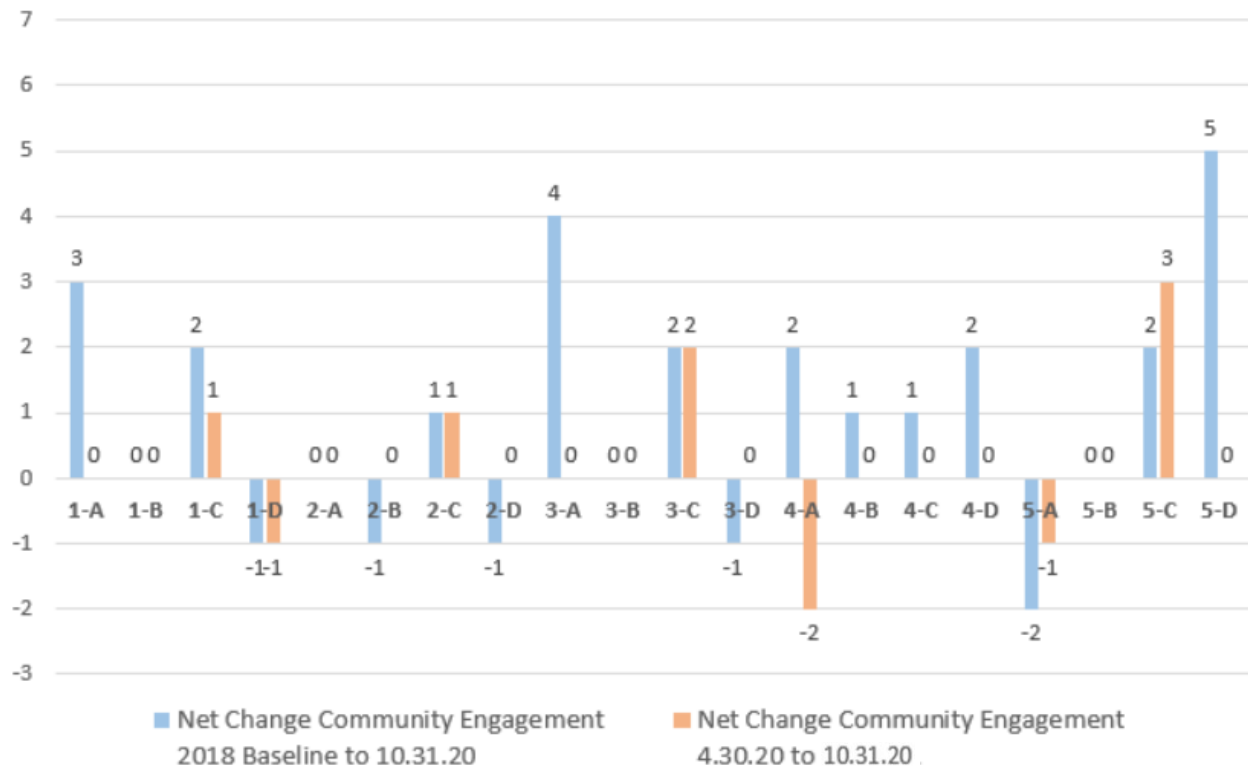
Community Coaching

| Community Coaching Providers Total (10.31.20) | |
|---|----|
| 1-A | 2 |
| 1-B | 1 |
| 1-C | 6 |
| 1-D | 4 |
| 2-A | 0 |
| 2-B | 6 |
| 2-C | 0 |
| 2-D | 1 |
| 3-A | 7 |
| 3-B | 3 |
| 3-C | 3 |
| 3-D | 0 |
| 4-A | 9 |
| 4-B | 0 |
| 4-C | 0 |
| 4-D | 1 |
| 5-A | 0 |
| 5-B | 0 |
| 5-C | 4 |
| 5-D | 13 |

| Total People Authorized on 10.31.20 | Change in People Authorized from 2018 baseline to 10.31.20 | Overall Net Unique Provider Change from 2018 baseline to 10.31.20 |
|-------------------------------------|--|---|
| 356 | +88 | +10 |



Community Engagement Net Provider Change



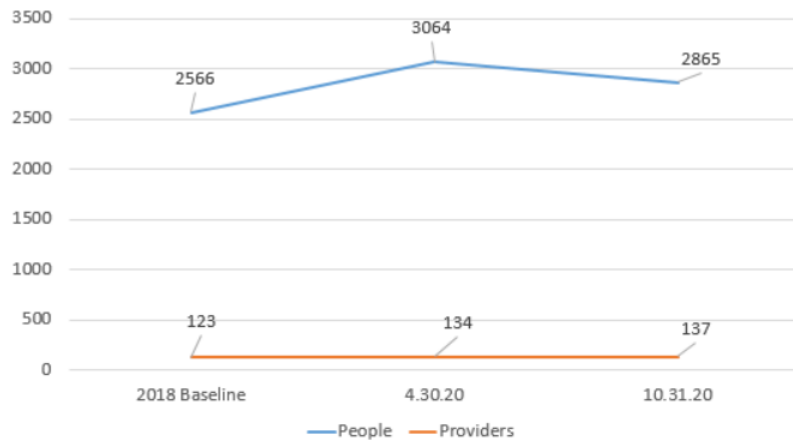
Community Engagement Providers Total (10.31.20)

| | |
|-----|----|
| 1-A | 9 |
| 1-B | 4 |
| 1-C | 12 |
| 1-D | 8 |
| 2-A | 1 |
| 2-B | 7 |
| 2-C | 2 |
| 2-D | 3 |
| 3-A | 13 |
| 3-B | 9 |
| 3-C | 6 |
| 3-D | 9 |
| 4-A | 26 |
| 4-B | 3 |
| 4-C | 4 |
| 4-D | 5 |
| 5-A | 0 |
| 5-B | 1 |
| 5-C | 12 |
| 5-D | 25 |

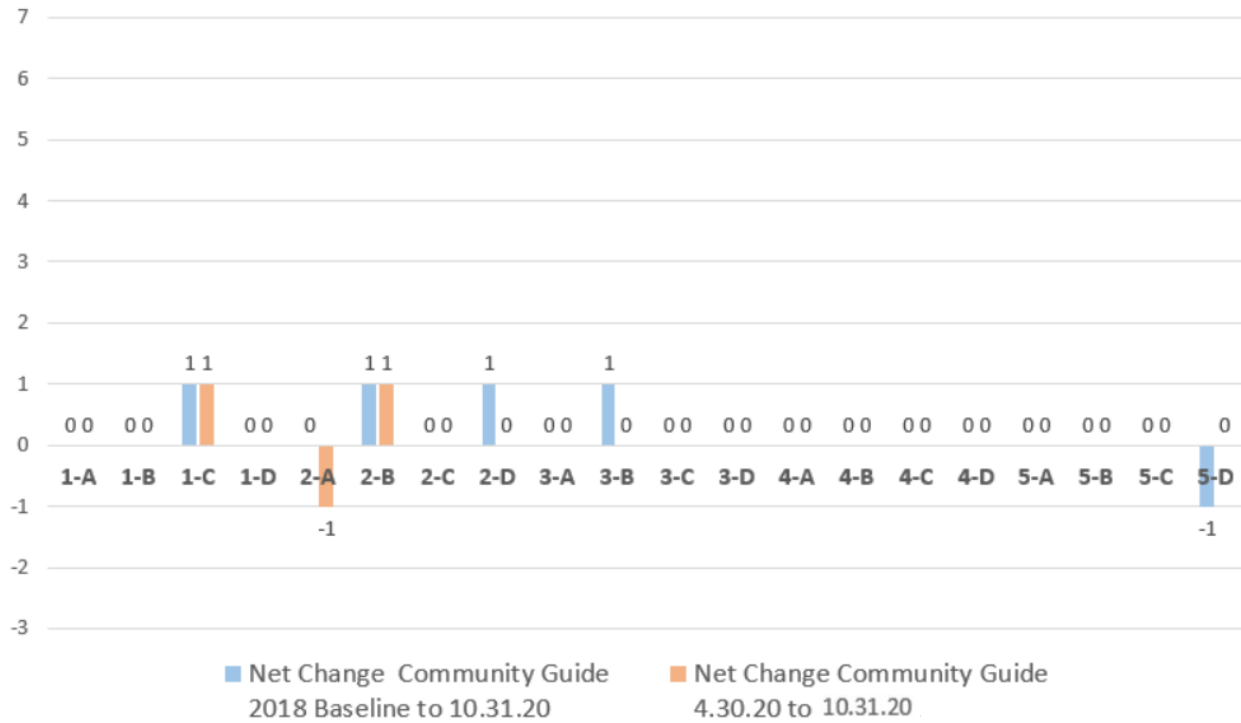
Community Engagement

| Total People Authorized on 10.31.20 | Change in People Authorized from 2018 baseline to 10.31.20 | Overall Net Unique Provider Change from 2018 baseline to 10.31.20 |
|-------------------------------------|--|---|
| 2865 | +299 | +14 |

Community Engagement Change: # of People and # of Providers



Community Guide Net Provider Change

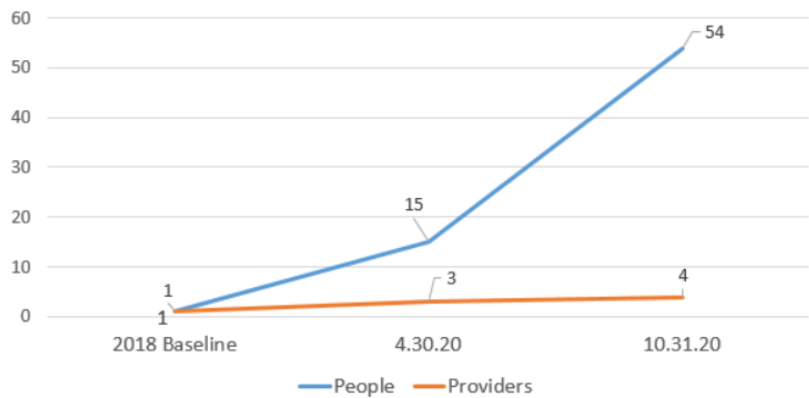


Community Guide

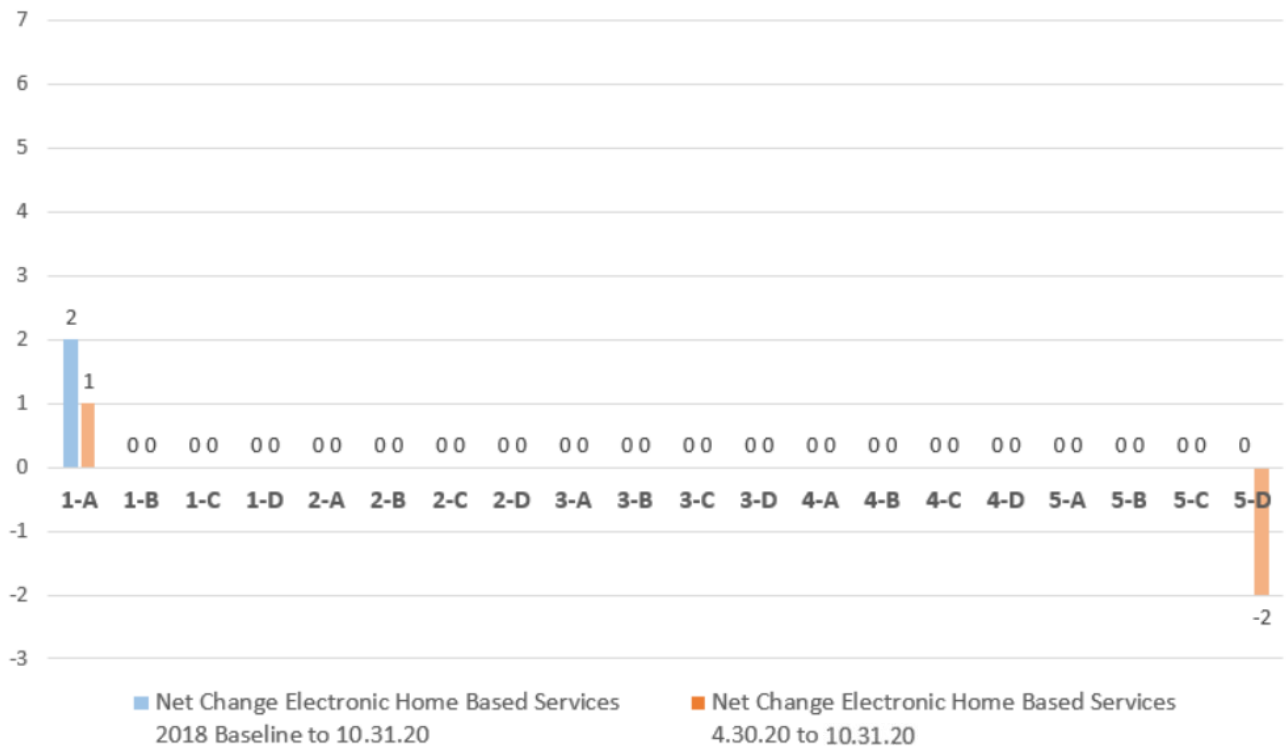
| Community Guide | Providers Total (10.31.20) |
|-----------------|----------------------------|
| 1-A | 0 |
| 1-B | 0 |
| 1-C | 1 |
| 1-D | 0 |
| 2-A | 0 |
| 2-B | 1 |
| 2-C | 0 |
| 2-D | 1 |
| 3-A | 0 |
| 3-B | 1 |
| 3-C | 0 |
| 3-D | 0 |
| 4-A | 0 |
| 4-B | 0 |
| 4-C | 0 |
| 4-D | 0 |
| 5-A | 0 |
| 5-B | 0 |
| 5-C | 0 |
| 5-D | 0 |

| Total People Authorized on 10.31.20 | Change in People Authorized from 2018 baseline to 10.31.20 | Overall Net Unique Provider Change from 2018 baseline to 10.31.20 |
|-------------------------------------|--|---|
| 54 | +53 | +3 |

Community Guide Change: # of People and # of Providers



Electronic Home Based Services Net Provider Change

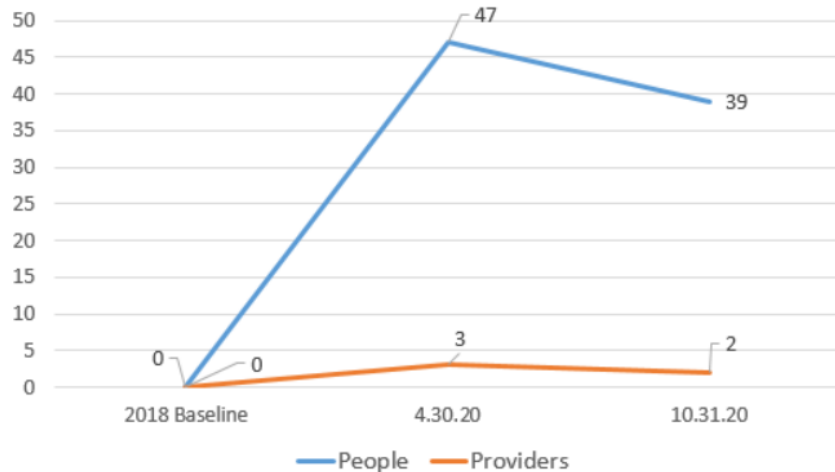


Electronic Home Based Services

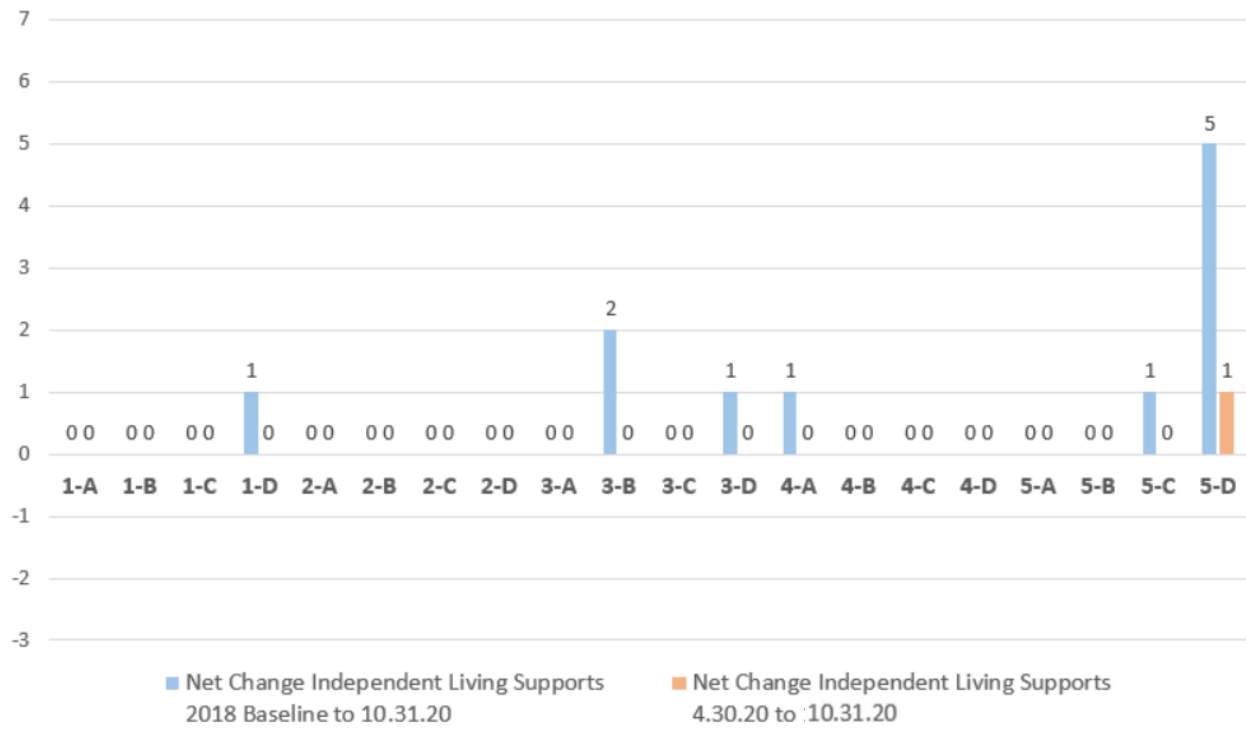
| EHBS Providers Total (10.31.20) | |
|---------------------------------|---|
| 1-A | 2 |
| 1-B | 0 |
| 1-C | 0 |
| 1-D | 0 |
| 2-A | 0 |
| 2-B | 0 |
| 2-C | 0 |
| 2-D | 0 |
| 3-A | 0 |
| 3-B | 0 |
| 3-C | 0 |
| 3-D | 0 |
| 4-A | 0 |
| 4-B | 0 |
| 4-C | 0 |
| 4-D | 0 |
| 5-A | 0 |
| 5-B | 0 |
| 5-C | 0 |
| 5-D | 0 |

| Total People Authorized on 10.31.20 | Change in People Authorized from 2018 baseline to 10.31.20 | Overall Net Unique Provider Change from 2018 baseline to 10.31.20 |
|-------------------------------------|--|---|
| 39 | +39 | +2 |

EHBS Change: # of People and # of Providers



Independent Living Supports Net Provider Change

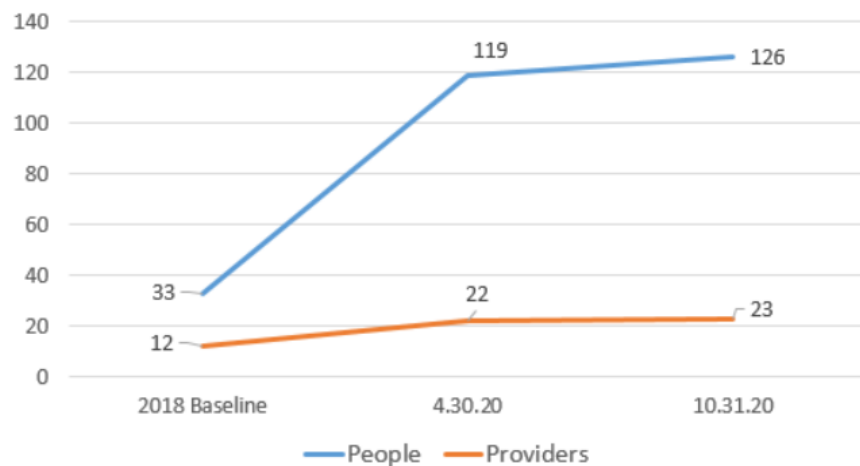


Independent Living Supports

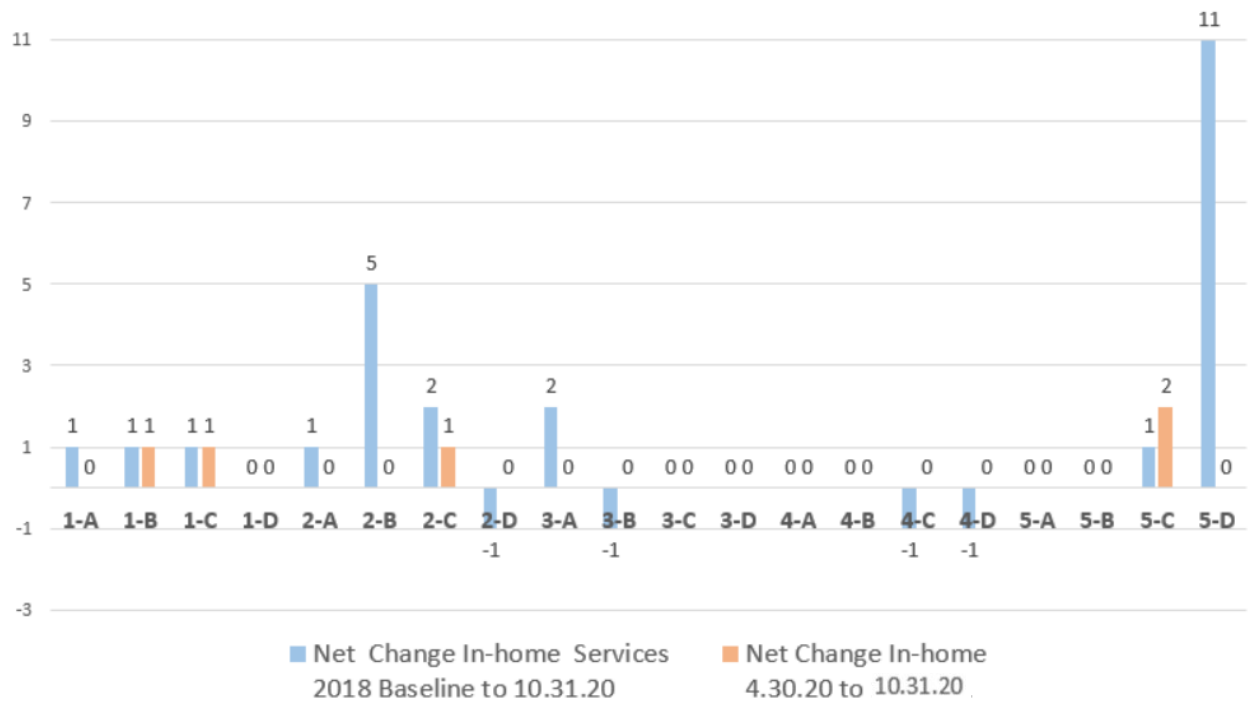
| | ILS Providers Total (10.31.20) |
|-----|--------------------------------|
| 1-A | 0 |
| 1-B | 0 |
| 1-C | 1 |
| 1-D | 2 |
| 2-A | 0 |
| 2-B | 1 |
| 2-C | 0 |
| 2-D | 0 |
| 3-A | 2 |
| 3-B | 2 |
| 3-C | 0 |
| 3-D | 1 |
| 4-A | 3 |
| 4-B | 0 |
| 4-C | 0 |
| 4-D | 0 |
| 5-A | 0 |
| 5-B | 0 |
| 5-C | 2 |
| 5-D | 9 |

| Total People Authorized on 10.31.20 | Change in People Authorized from 2018 baseline to 10.31.20 | Overall Net Unique Provider Change from 2018 baseline to 10.31.20 |
|-------------------------------------|--|---|
| 126 | +93 | +11 |

ILS Change: # of People and # of Providers



In-home Support Services Net Provider Change



In-home Support Services Providers Total (10.31.20)

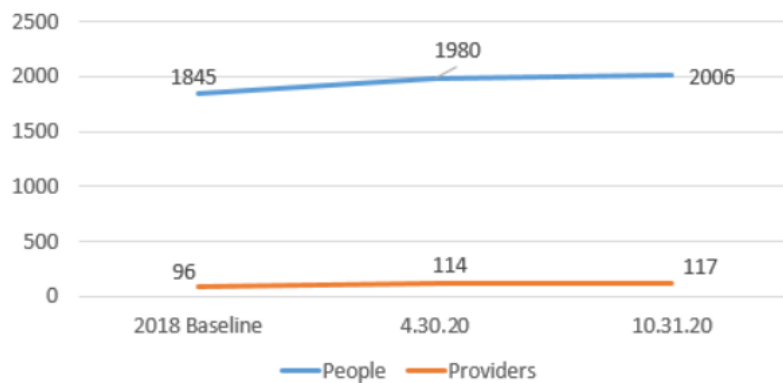
| | |
|-----|----|
| 1-A | 2 |
| 1-B | 5 |
| 1-C | 6 |
| 1-D | 6 |
| 2-A | 2 |
| 2-B | 16 |
| 2-C | 3 |
| 2-D | 3 |
| 3-A | 10 |
| 3-B | 7 |
| 3-C | 3 |
| 3-D | 3 |
| 4-A | 10 |
| 4-B | 1 |
| 4-C | 2 |
| 4-D | 1 |
| 5-A | 1 |
| 5-B | 1 |
| 5-C | 13 |
| 5-D | 35 |

In-home Support Services

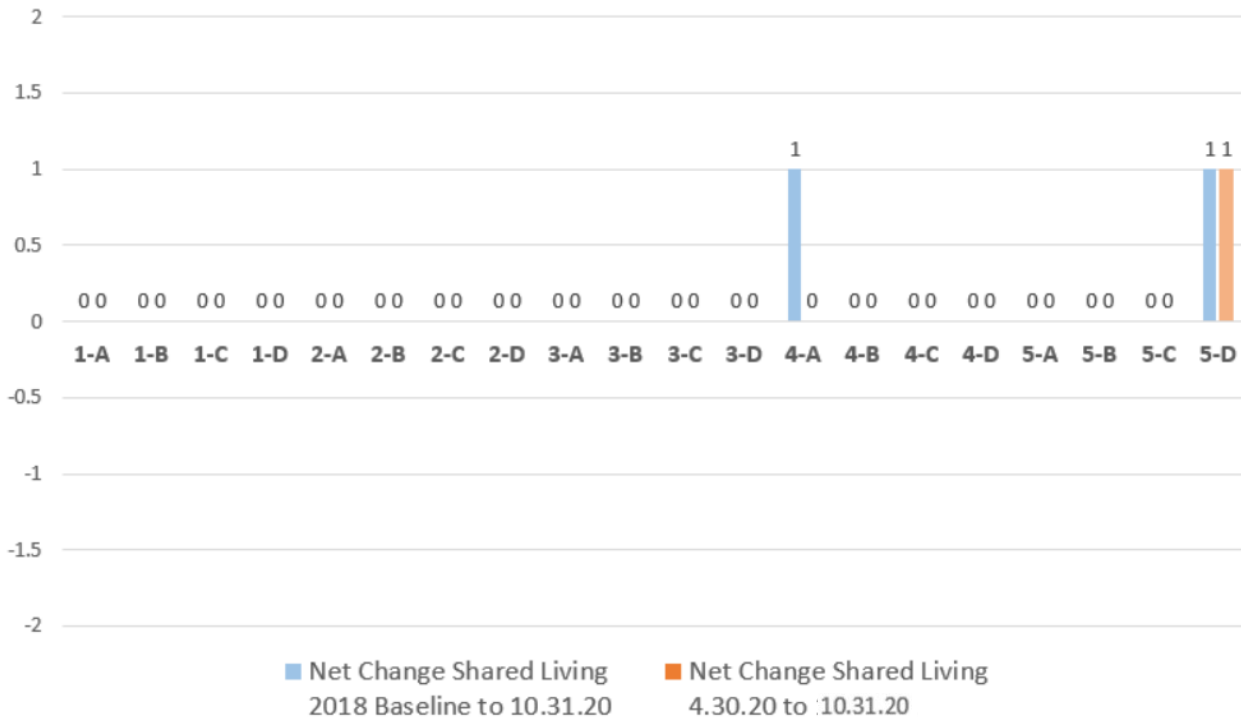
| Total People Authorized on 10.31.20 | Change in People Authorized from 2018 baseline to 10.31.20 | Overall Net Unique Provider Change from 2018 baseline to 10.31.20 |
|-------------------------------------|--|---|
| 2006 | +161 | +21 |

| | | |
|------|------|-----|
| 2006 | +161 | +21 |
|------|------|-----|

In-home Support Services Change: # of People and # of Providers



Shared Living Net Provider Change



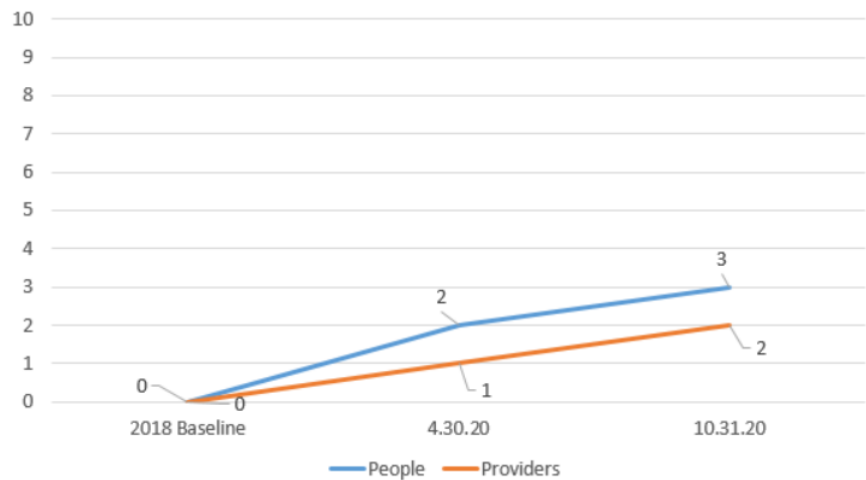
Shared Living Providers Total (10.31.20)

| | |
|-----|---|
| 1-A | 0 |
| 1-B | 0 |
| 1-C | 0 |
| 1-D | 0 |
| 2-A | 0 |
| 2-B | 0 |
| 2-C | 0 |
| 2-D | 0 |
| 3-A | 0 |
| 3-B | 0 |
| 3-C | 0 |
| 3-D | 0 |
| 4-A | 1 |
| 4-B | 0 |
| 4-C | 0 |
| 4-D | 0 |
| 5-A | 0 |
| 5-B | 0 |
| 5-C | 0 |
| 5-D | 1 |

Shared Living

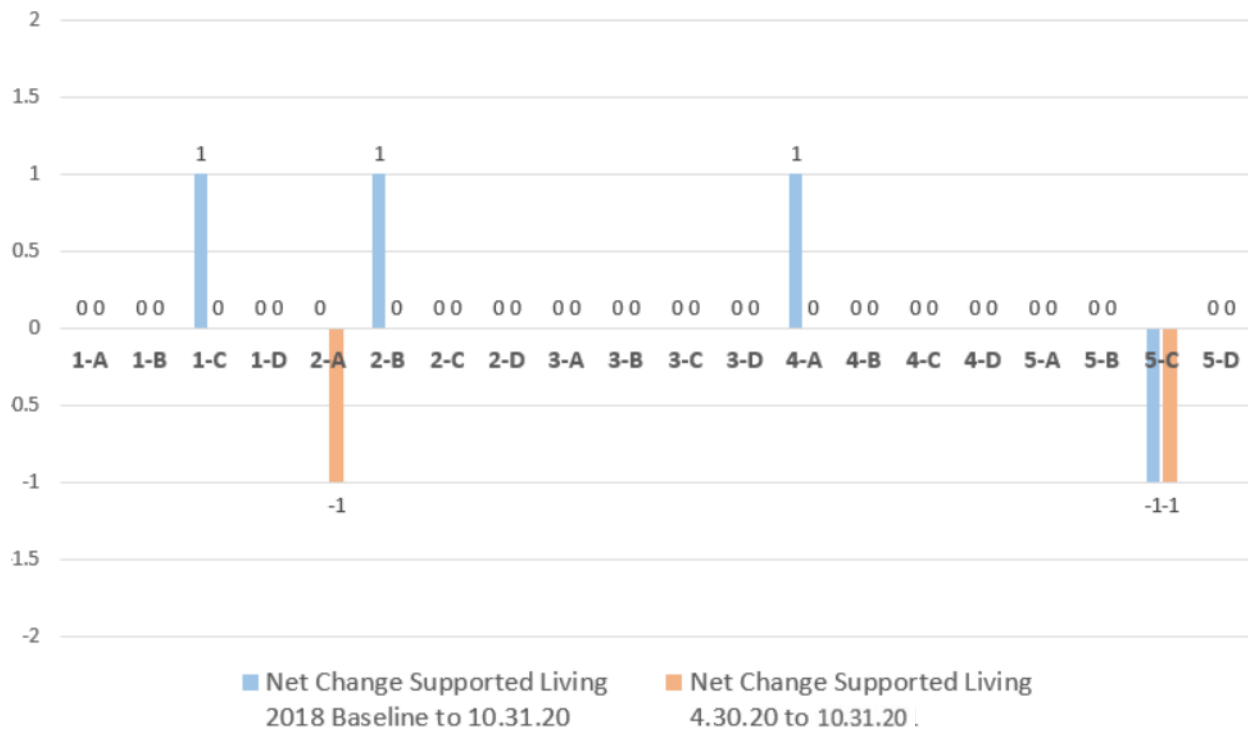
| Total People Authorized on 10.31.20 | Change in People Authorized from 2018 baseline to 10.31.20 | Overall Net Unique Provider Change from 2018 baseline to 10.31.20 |
|-------------------------------------|--|---|
| 3 | +3 | +2 |

Shared Living Change: # of People and # of Providers



PROVIDER DATA SUMMARY NOVEMBER 2020

Supported Living Net Provider Change



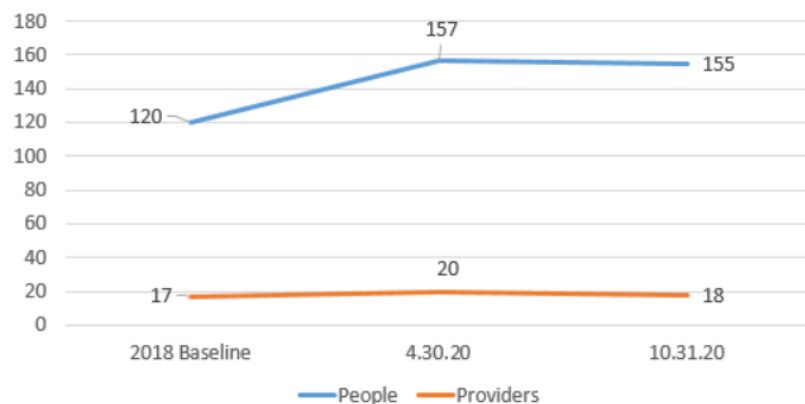
Supported Living Providers Total (10.31.20)

| | |
|-----|---|
| 1-A | 1 |
| 1-B | 0 |
| 1-C | 3 |
| 1-D | 0 |
| 2-A | 1 |
| 2-B | 3 |
| 2-C | 0 |
| 2-D | 0 |
| 3-A | 0 |
| 3-B | 1 |
| 3-C | 1 |
| 3-D | 0 |
| 4-A | 6 |
| 4-B | 0 |
| 4-C | 0 |
| 4-D | 0 |
| 5-A | 0 |
| 5-B | 1 |
| 5-C | 0 |
| 5-D | 2 |

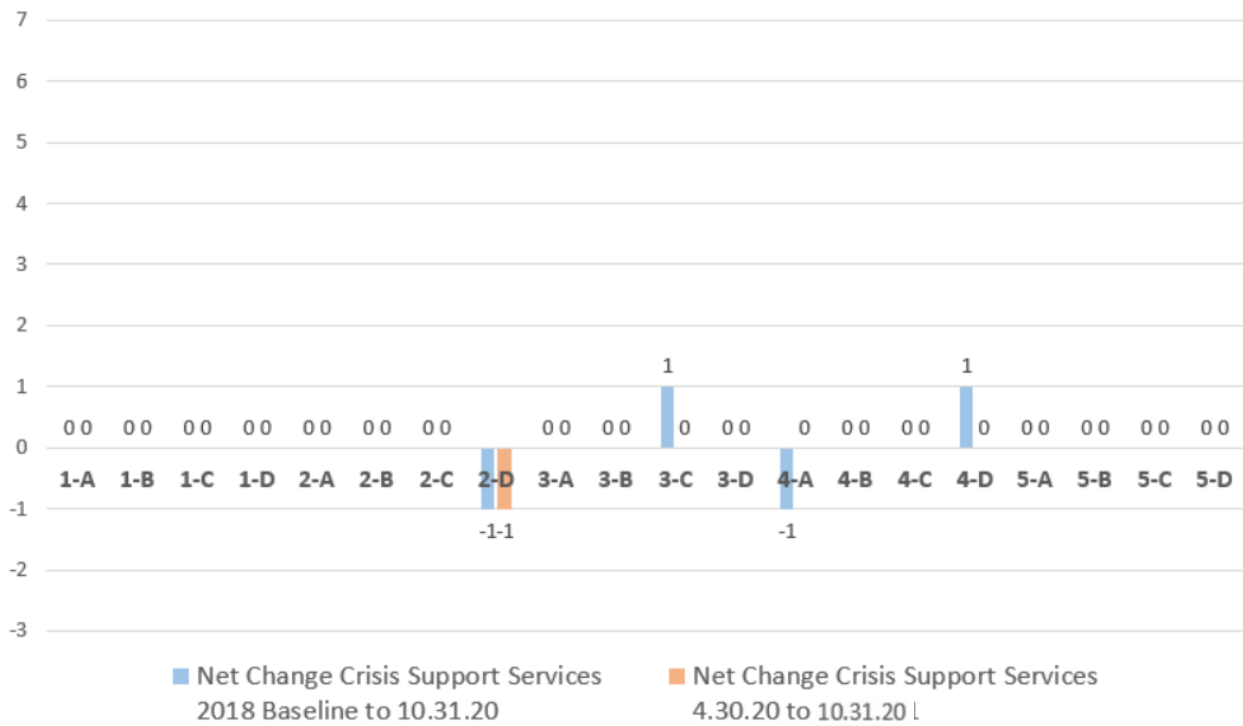
Supported Living

| Total People Authorized on 10.31.20 | Change in People Authorized from 2018 baseline to 10.31.20 | Overall Net Unique Provider Change from 2018 baseline to 10.31.20 |
|-------------------------------------|--|---|
| 155 | +35 | +1 |

Supported Living Change: # of People and # of Providers



Crisis Support Services Net Provider Change



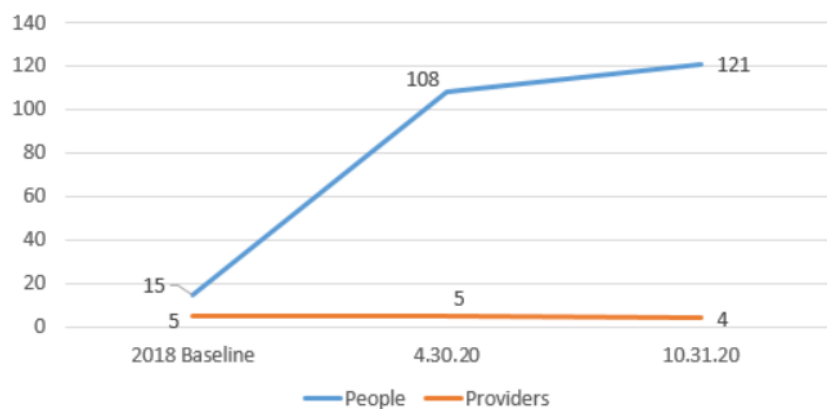
Crisis Support Services Providers Total (10.31.20)

| | |
|-----|---|
| 1-A | 0 |
| 1-B | 0 |
| 1-C | 0 |
| 1-D | 0 |
| 2-A | 0 |
| 2-B | 1 |
| 2-C | 0 |
| 2-D | 1 |
| 3-A | 1 |
| 3-B | 0 |
| 3-C | 1 |
| 3-D | 0 |
| 4-A | 0 |
| 4-B | 0 |
| 4-C | 0 |
| 4-D | 1 |
| 5-A | 0 |
| 5-B | 0 |
| 5-C | 0 |
| 5-D | 0 |

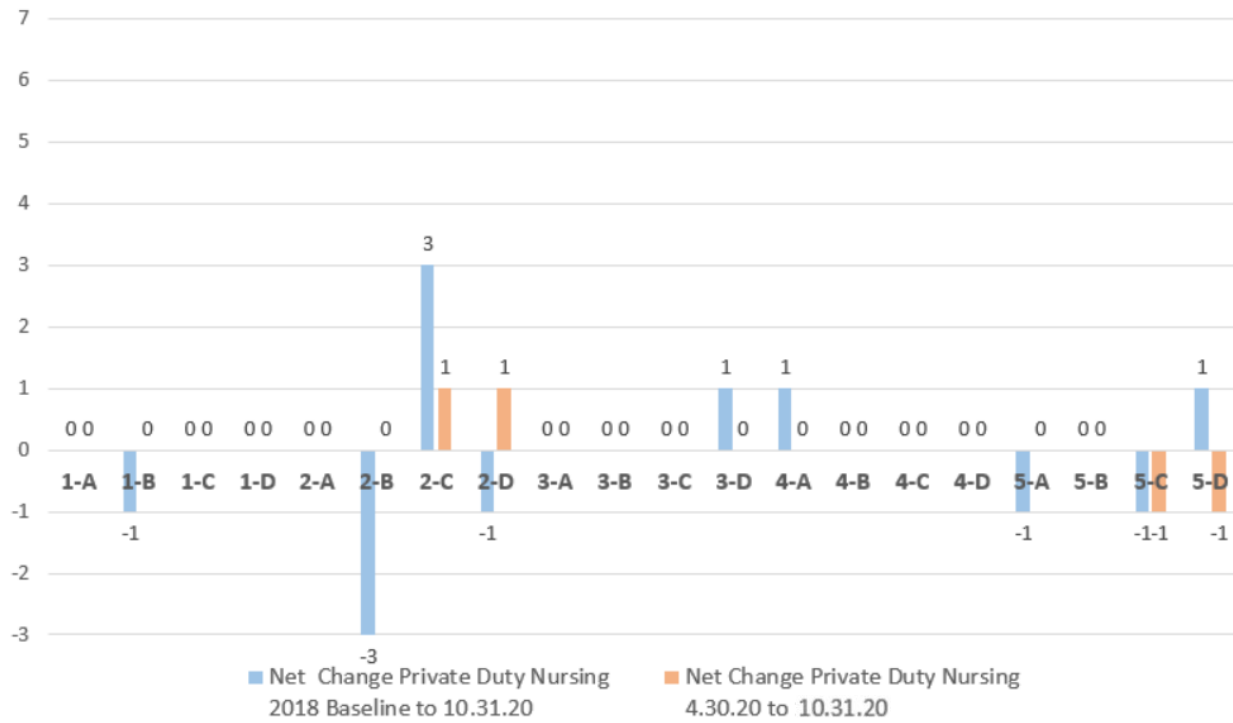
Crisis Support Services

| Total People Authorized on 10.31.20 | Change in People Authorized from 2018 baseline to 10.31.20 | Overall Net Unique Provider Change from 2018 baseline to 10.31.20 |
|-------------------------------------|--|---|
| 121 | +106 | -1 |

Crisis Support Services Change: # of People and # of Providers



Private Duty Nursing Net Provider Change



Private Duty Nursing

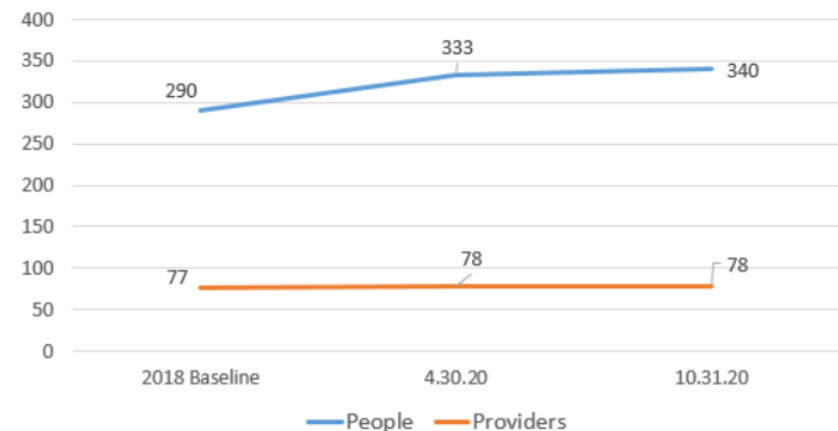
Private Duty Nursing Providers Total (10.31.20)

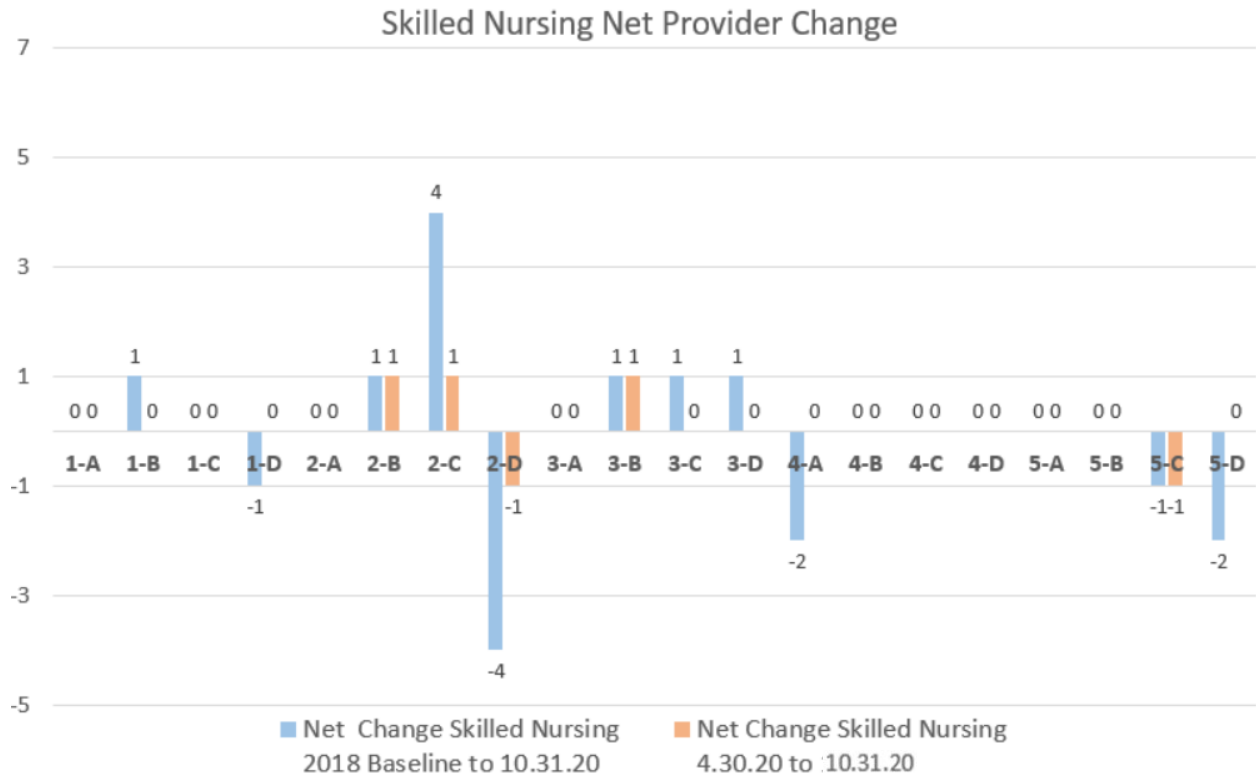
| | |
|-----|----|
| 1-A | 4 |
| 1-B | 0 |
| 1-C | 0 |
| 1-D | 1 |
| 2-A | 2 |
| 2-B | 25 |
| 2-C | 8 |
| 2-D | 11 |
| 3-A | 3 |
| 3-B | 1 |
| 3-C | 2 |
| 3-D | 4 |
| 4-A | 10 |
| 4-B | 0 |
| 4-C | 1 |
| 4-D | 1 |
| 5-A | 2 |
| 5-B | 0 |
| 5-C | 5 |
| 5-D | 14 |

| Total People Authorized on 10.31.20 | Change in People Authorized from 2018 baseline to 10.31.20 | Overall Net Unique Provider Change from 2018 baseline to 10.31.20 |
|-------------------------------------|--|---|
| 340 | +50 | +1 |

| | | |
|-----|-----|----|
| 340 | +50 | +1 |
|-----|-----|----|

Private Duty Nursing Change: # of People and # of Providers





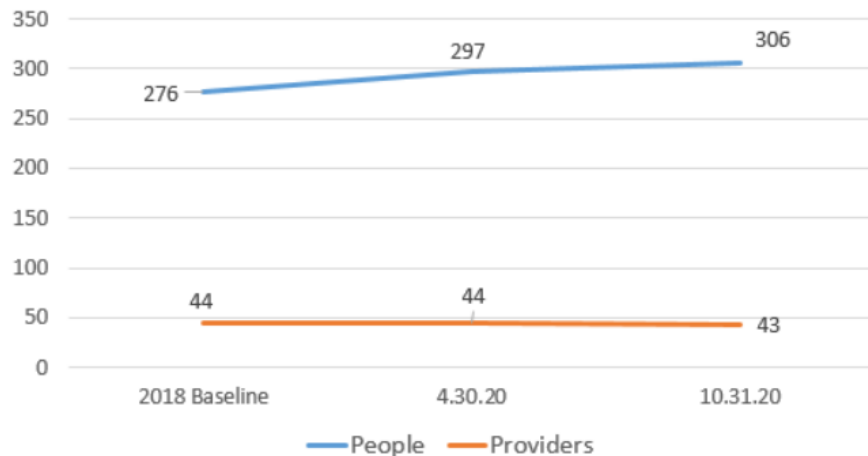
Skilled Nursing Providers Total (10.31.20)

| | |
|-----|----|
| 1-A | 1 |
| 1-B | 2 |
| 1-C | 3 |
| 1-D | 1 |
| 2-A | 0 |
| 2-B | 5 |
| 2-C | 4 |
| 2-D | 4 |
| 3-A | 4 |
| 3-B | 2 |
| 3-C | 3 |
| 3-D | 2 |
| 4-A | 4 |
| 4-B | 0 |
| 4-C | 0 |
| 4-D | 1 |
| 5-A | 1 |
| 5-B | 0 |
| 5-C | 3 |
| 5-D | 10 |

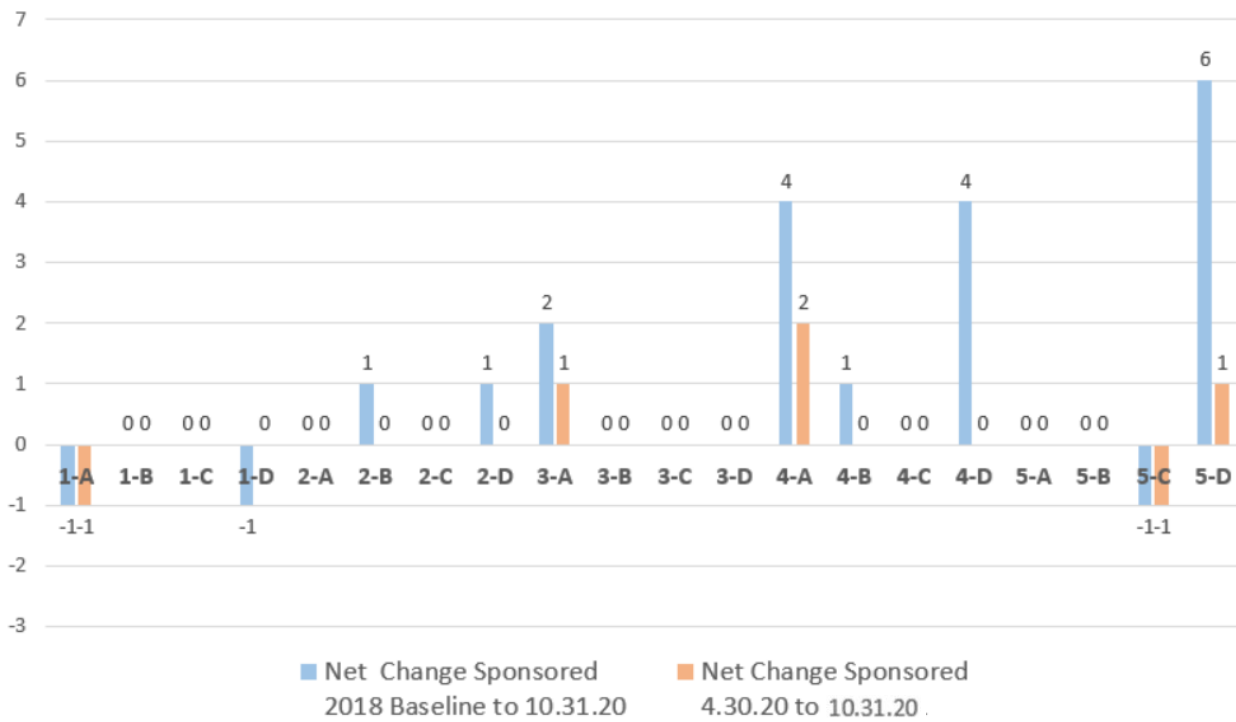
Skilled Nursing

| Total People Authorized on 10.31.20 | Change in People Authorized from 2018 baseline to 10.31.20 | Overall Net Unique Provider Change from 2018 baseline to 10.31.20 |
|-------------------------------------|--|---|
| 306 | +30 | -1 |

Skilled Nursing Change: # of People and # of Providers



Sponsored Residential Services Net Provider Change



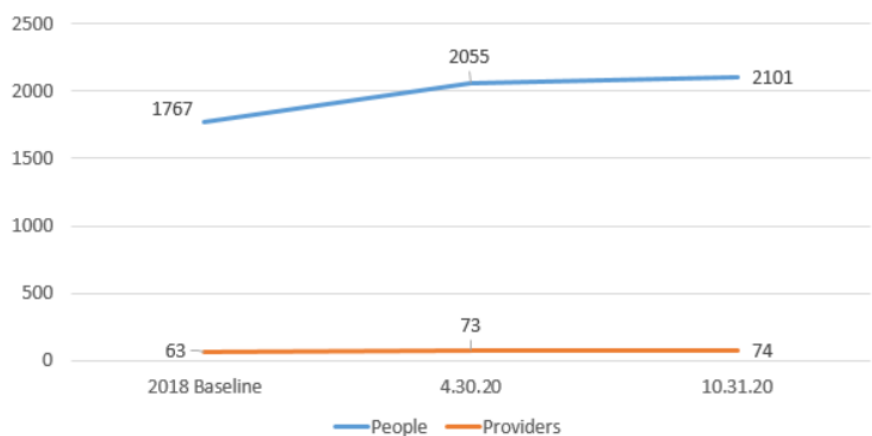
Sponsored Residential Providers Total (10.31.20)

| | |
|-----|----|
| 1-A | 2 |
| 1-B | 4 |
| 1-C | 3 |
| 1-D | 13 |
| 2-A | 0 |
| 2-B | 2 |
| 2-C | 0 |
| 2-D | 2 |
| 3-A | 7 |
| 3-B | 2 |
| 3-C | 5 |
| 3-D | 3 |
| 4-A | 12 |
| 4-B | 2 |
| 4-C | 0 |
| 4-D | 6 |
| 5-A | 0 |
| 5-B | 0 |
| 5-C | 13 |
| 5-D | 21 |

Sponsored Residential

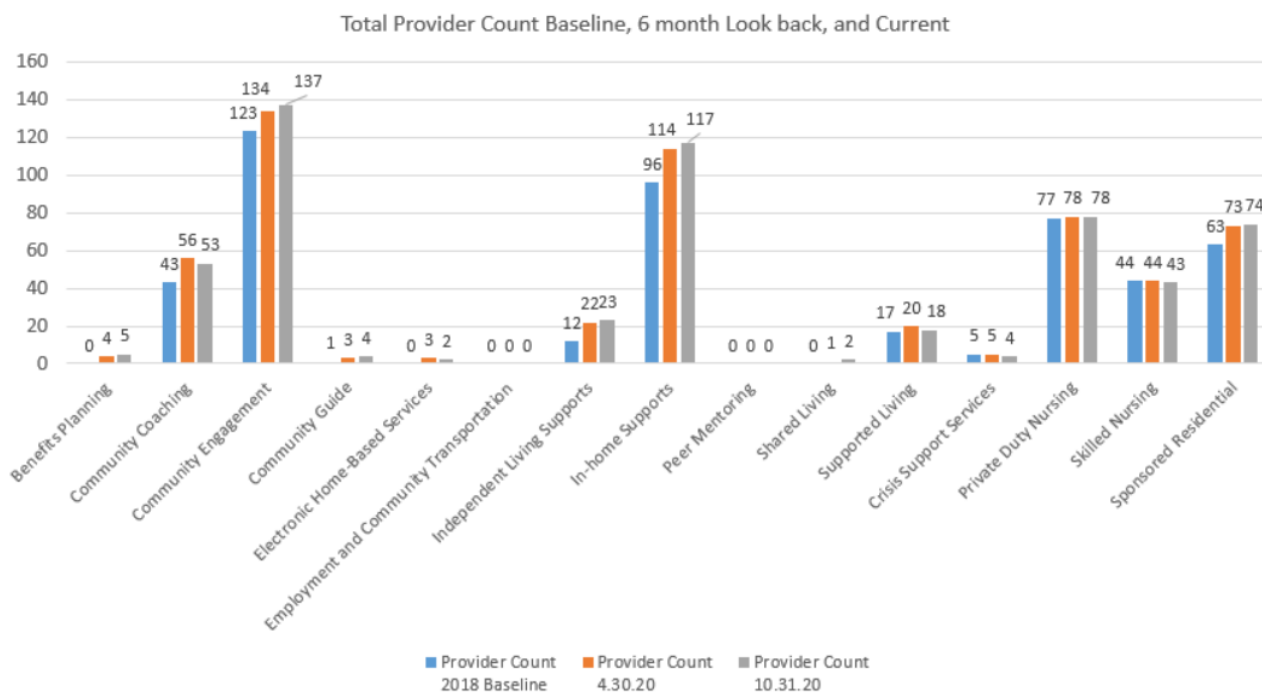
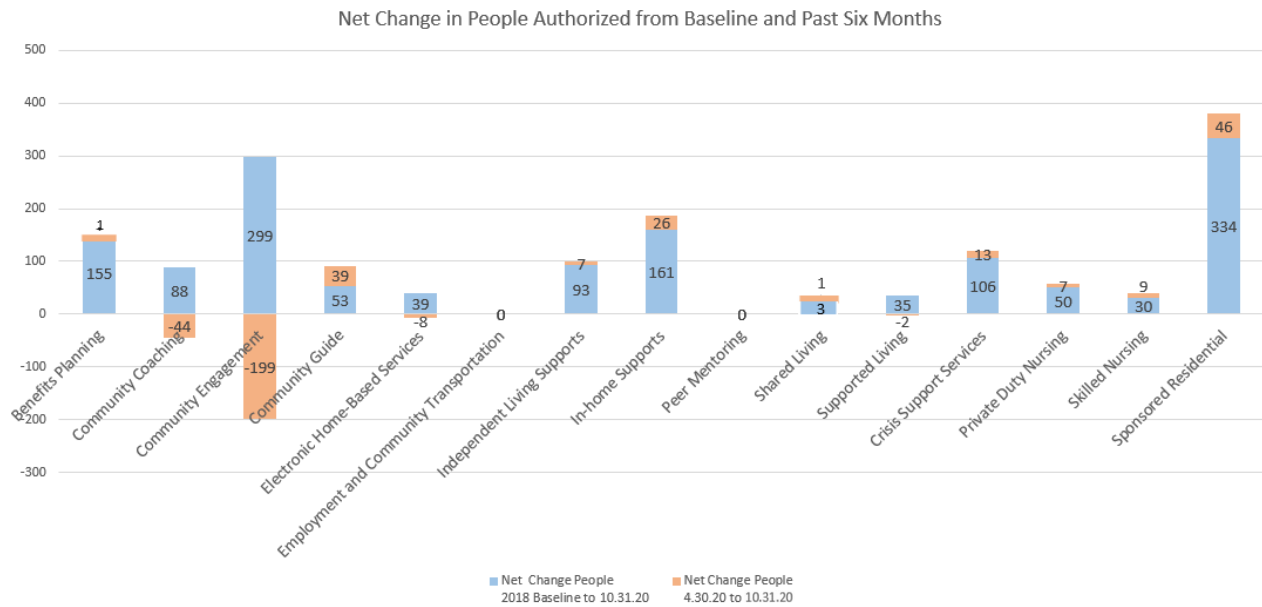
| Total People Authorized on 10.31.20 | Change in People Authorized from 2018 baseline to 10.31.20 | Overall Net Unique Provider Change from 2018 baseline to 10.31.20 |
|-------------------------------------|--|---|
| 2101 | +334 | +11 |

Sponsored Residential Change: # of People and # of Providers



PROVIDER DATA SUMMARY NOVEMBER 2020

The overall change in people authorized and provider counts are seen below. Significant declines in authorizations are noted in Community Engagement and Community Coaching, decreases that coincide with the extended period of the COVID-19 pandemic. It should be noted that interrupted services have resulted in suspended authorizations, but many individuals may continue to choose a provider, want services, and be seeking a clear date to return. DBHDS expects some loss in these services due to the pandemic, but also a readjustment over time as the pandemic subsides and reauthorizations occur.



IDENTIFIED GAPS

Provider Development leads five Regional Support Teams (RSTs) designed to provide support with ensuring informed choice and with removing barriers to more integrated service options across Virginia. This section highlights findings from RST processes.

DBHDS is working to integrate the RST referral process into the Waiver Management System to ease communication, tracking and data reporting and is now incorporating RST barrier data in this Provider Data Summary report. The inclusion of this data relates to the following draft measure under negotiation with the Department of Justice:

Measure

86% of people with a DD waiver, who are identified through indicator #13 of III.D.6 (below), desiring a more integrated residential service option (defined as independent living supports, in-home support services, supported living, and sponsored residential) have access to an option that meets their preferences within nine months.

III.D.6.13. DBHDS will identify individuals who chose a less integrated residential setting due to the absence of more integrated options in the desired locality. The names of these individuals will be included in quarterly letters provided to each CSB. On a semi-annual basis, information about new service providers will be provided to CSBs, so that the identified individuals can be made aware of new, more integrated options as they become available. A Community Resource Consultant will contact each of these CSBs at least annually to ensure that any new more integrated options have been offered. DBHDS will report annually the number of people who moved to more integrated settings.

In the 1st Quarter, two individuals referred to the RST were identified upon referral with Barrier 2 defined as "Services and activities unavailable in desired location." The first instance, reported in Region 4, was resolved when the person moved into a sponsored residential home. In the second instance, reported in Region 3, a person is living at home with personal assistance and private duty nursing services. The individual would like additional private duty nursing services however remain living in their own home at this time. Second quarter data is pending, which will be included in the next report. Measure met.

The barriers for the most integrated services were evaluated for frequency and location. The charts below provide details on five themes identified in the RST referral process and the distribution across regions during the 4th quarter FY20 and 1st quarter FY21 RST reports. The data results were consolidated into the categories provided. Barriers counts listed below are not inclusive of all possible barriers and may be duplicated across referrals. These charts represent the frequency a barrier was reported by region, upon initial referral, and within one of five given themes. The results are based on the following number of referrals from the Developmental Services regions: Region I = 42; Region II = 88; Region III = 34; Region IV = 55; and Region V = 24.

Barrier Themes

Individual/SDM Choice includes: Individual/ SDM/LG chooses less integrated option; Individual/Substitute Decision Maker (SDM)/Legal Guardian (LG) not interested in discussing/exploring options/refuses supports; Individual/SDM/LG does not choose provider after visit/still exploring community options

Lack of provider at referral includes: Services and activities unavailable in desired location; Professional Behavioral staff-Psychiatric, PBS facilitator, Applied Behavioral Analyst, or other specialist unavailable; Professional Behavioral staff-Dental, nursing or any medical specialist unavailable

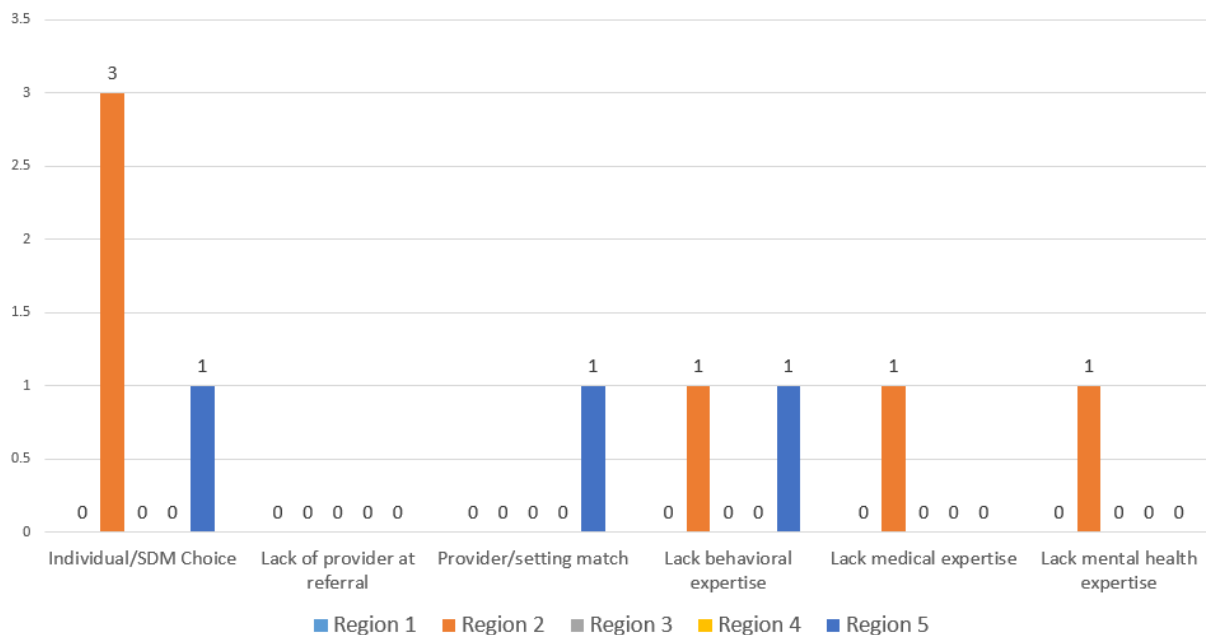
Provider/setting match includes: Provider has determined placement is not a good match provider is not willing/able to support individual; Service/Provider Development or Loss-Construction/Renovations/Environmental Modifications/Staffing/On-boarding/Licensing; Community location is not adapted for physical access (not wheelchair accessible or ADA compliant);

Lack behavioral expertise includes: Direct Support Staff-may not have experience or demonstrate competency to provide support with behavioral expertise

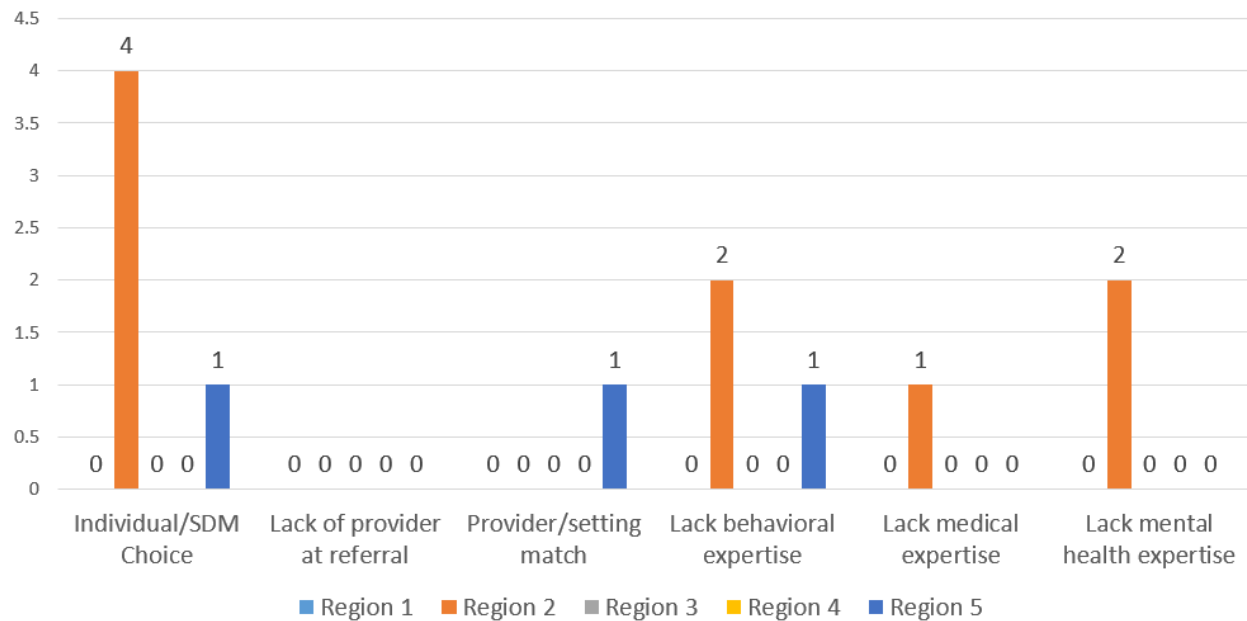
Lack medical expertise includes: Direct Support Staff-may not have experience or demonstrate competency to provide support with medical expertise

Lack mental health expertise includes: Direct Support Staff-may not have experience or demonstrate competency to provide support with mental health expertise

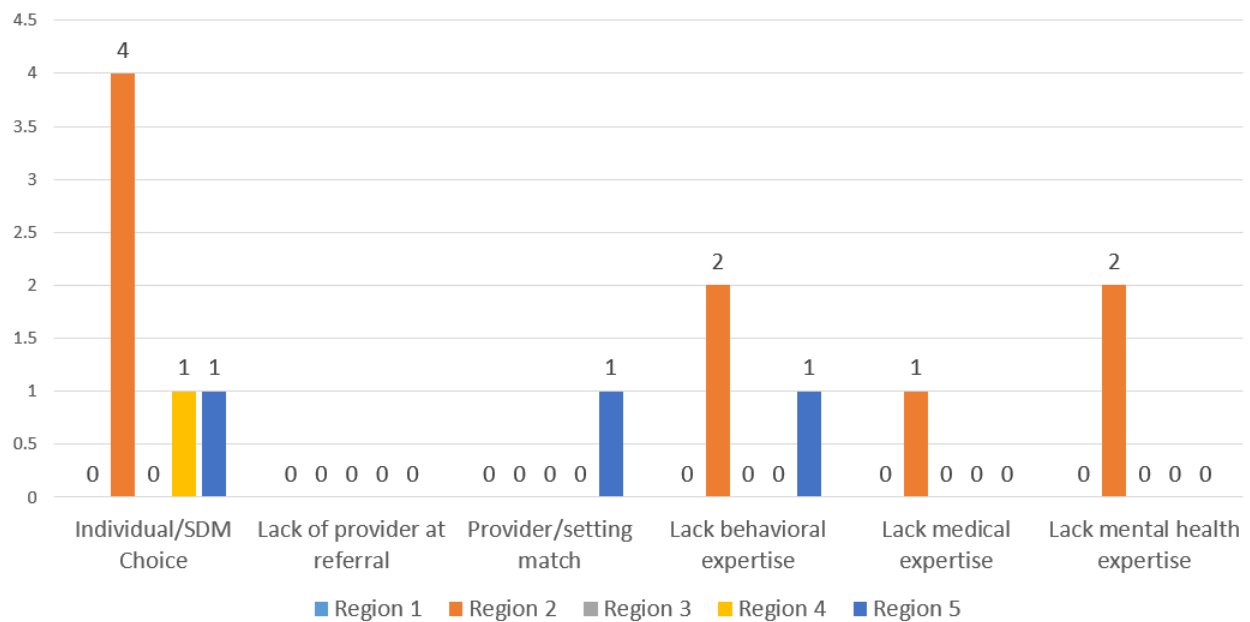
Independent Living Supports (Frequency of **Regional Support Team** barriers FY20 4th and 1st Quarter FY21)



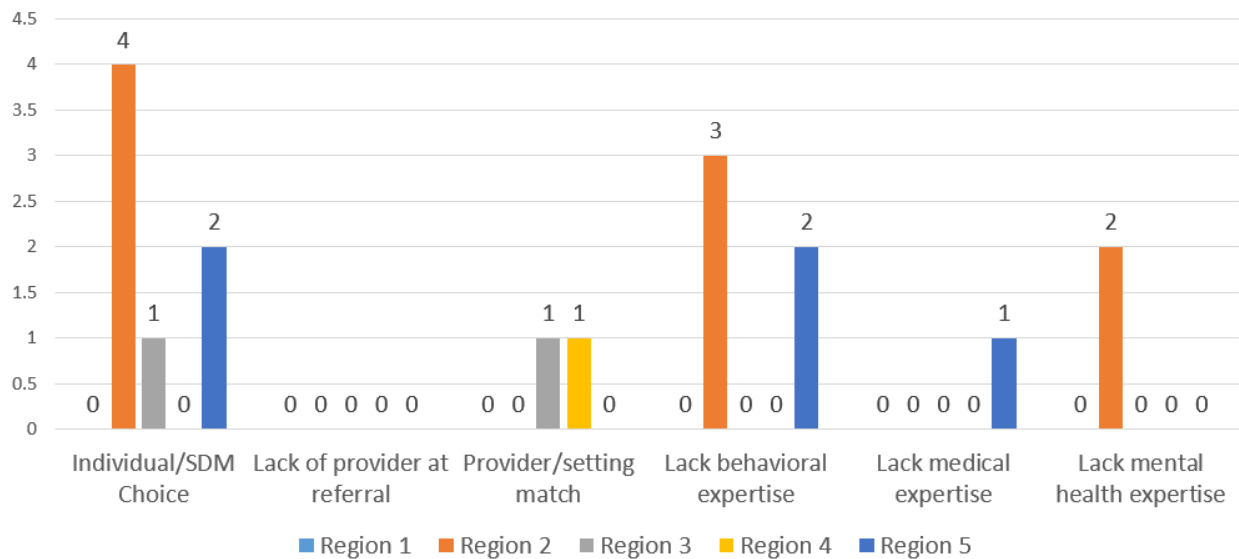
Shared Living (Frequency of **Regional Support Team** barriers FY20 4th and 1st Quarter FY21)



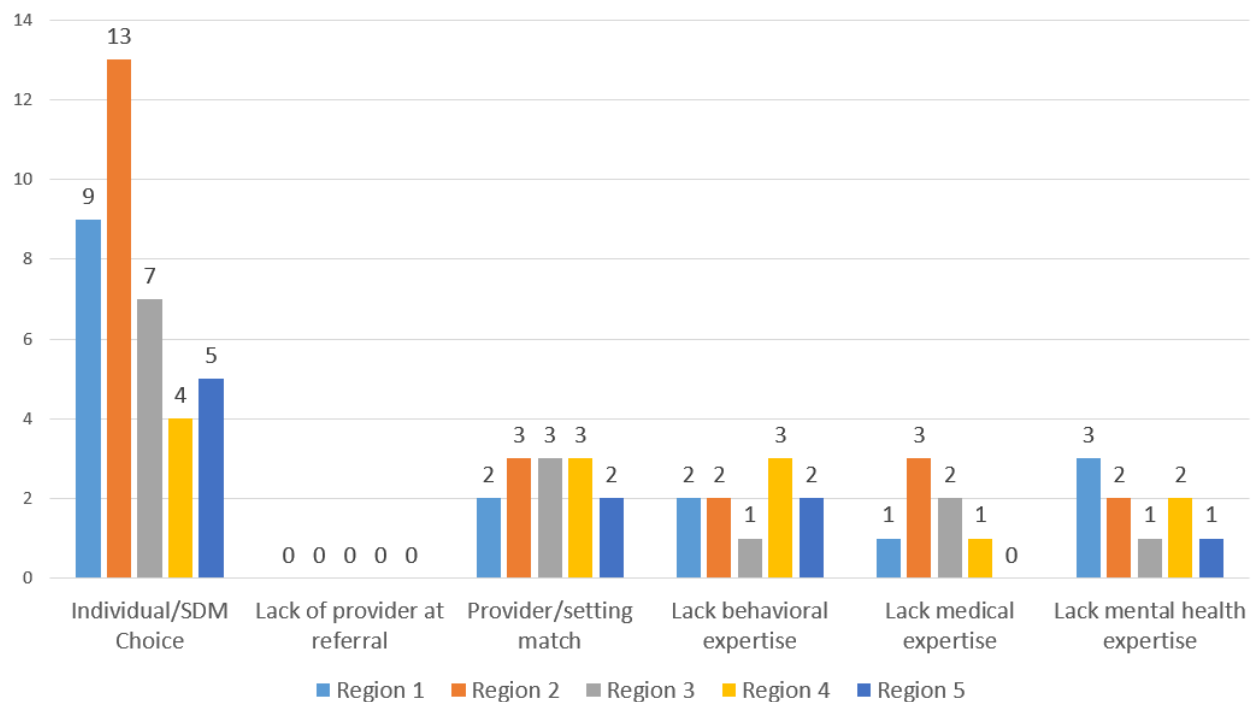
Supported Living (Frequency of **Regional Support Team** barriers FY20 4th and 1st Quarter FY21)



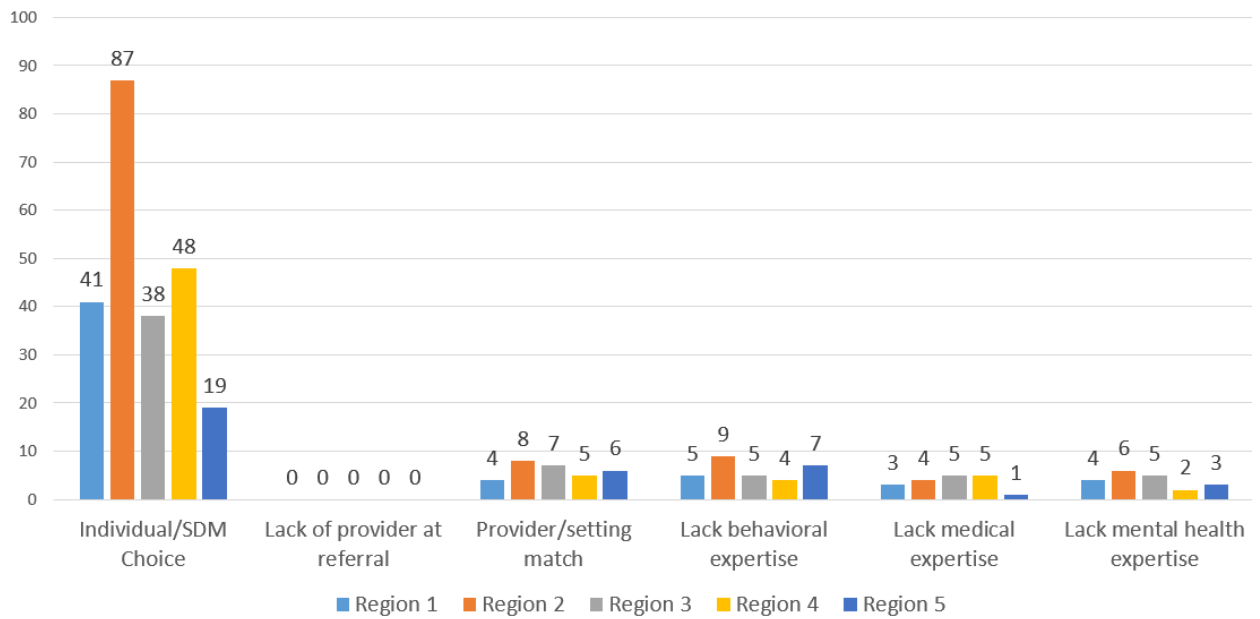
In-home Support Services (Frequency of **Regional Support Team** barriers FY20 4th and 1st Quarter FY21)



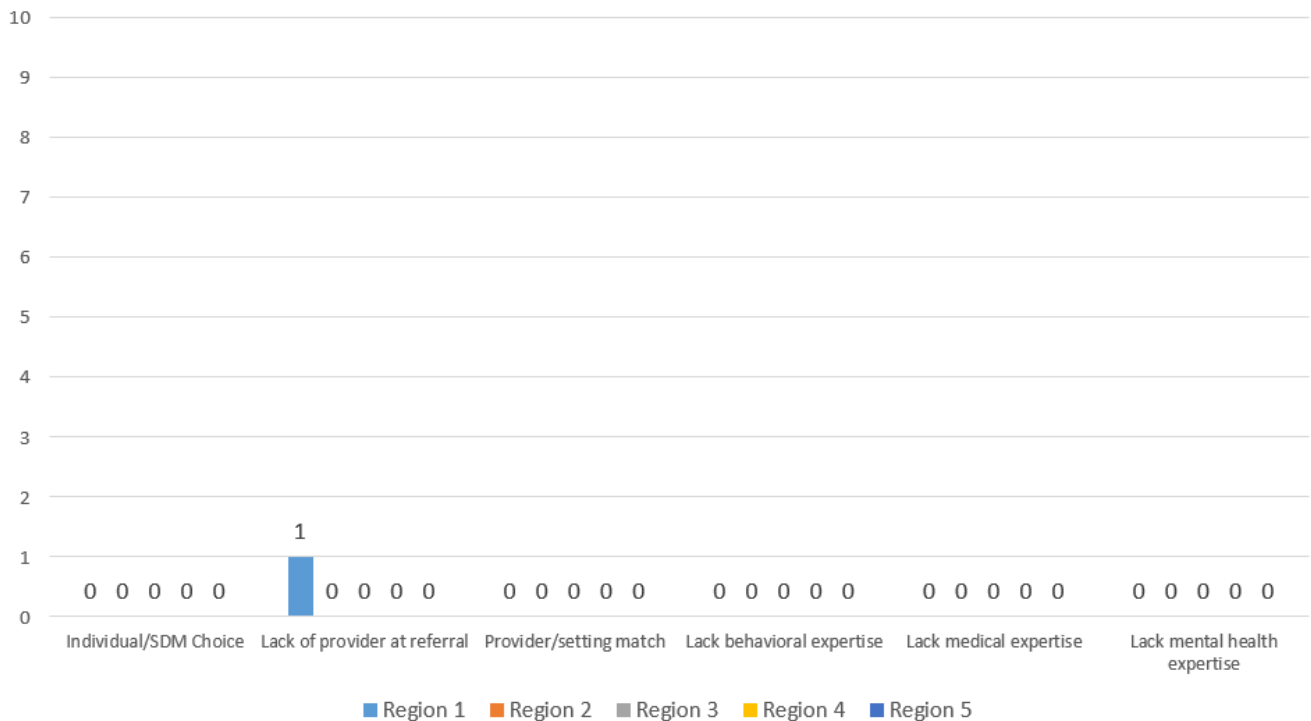
Sponsored Residential (Frequency of **Regional Support Team** barriers FY20 4th and 1st Quarter FY21)



Group Home 4 or fewer (Frequency of **Regional Support Team** barriers FY20 4th and 1st Quarter FY21)



Therapeutic Consultation (Frequency of **Regional Support Team** barriers FY20 4th and 1st Quarter FY21)



Specific localities with at least 50 people with DD waiver and fewer than three integrated service options:

Region I

| 1-A | 1-B | 1-C | 1-D |
|---------------------|-------------------|-------------------|----------------------|
| Caroline County | Augusta County | Harrisonburg City | Nelson County |
| Fredericksburg City | Highland County | Rockingham County | Louisa County |
| King George County | Staunton City | Frederick County | Albemarle County |
| Spotsylvania County | Waynesboro City | Page County | Charlottesville City |
| Stafford County | Alleghany County | Shenandoah County | Fluvanna County |
| Culpeper County | Covington City | Warren County | Greene County |
| Madison County | Bath County | Winchester City | Amherst County |
| Orange County | Buena Vista City | Clarke County | Appomattox County |
| Fauquier County | Lexington City | | Bedford County |
| Rappahannock County | Rockbridge County | | Campbell County |
| | | | Lynchburg City |

Orange County
Waynesboro City
Harrisonburg City
Louisa County
Charlottesville City
Campbell County

Region I

Region II

| 2-A | 2-B | 2-C | 2-D |
|------------------|-------------------|----------------|-----------------------|
| Alexandria City | Fairfax City | Loudoun County | Manassas City |
| Arlington County | Fairfax County | | Manassas Park City |
| | Falls Church City | | Prince William County |

Arlington County
Fairfax City
Falls Church City
Manassas City
Manassas Park City

Region II

Region III

| 3-A | 3-B | 3-C | 3-D |
|-------------------|---------------------|----------------|-------------------|
| Botetourt County | Franklin County | Carroll County | Buchanan County |
| Craig County | Danville City | Galax City | Russell County |
| Roanoke City | Pittsylvania County | Grayson County | Tazewell County |
| Roanoke County | Henry County | Bland County | Dickenson County |
| Salem City | Martinsville City | Wythe County | Bristol City |
| Giles County | Halifax County | Floyd County | Washington County |
| Montgomery County | Mecklenburg County | Pulaski County | Smyth County |
| | Brunswick County | Radford City | Lee County |
| | Patrick County | | Norton City |
| | | | Scott County |
| | | | Wise County |

Franklin County
Pittsylvania City
Henry County
Halifax County
Carroll County
Pulaski County
Russell County
Bristol City
Scott County

Region III

Region IV

| 4-A | 4-B | 4-C | 4-D |
|-----------------------|-------------------|----------------------|----------------------|
| Chesterfield County | Amelia County | Charlotte County | Dinwiddie County |
| Colonial Heights City | Buckingham County | Lunenburg County | Greensville County |
| Hanover County | Cumberland County | Nottoway County | Hopewell City |
| Charles City County | Goochland County | Prince Edward County | Petersburg City |
| Henrico County | Powhatan County | Emporia City | Prince George County |
| New Kent County | | | Surry County |
| Richmond City | | | Sussex County |

Dinwiddie County

Region IV

Region V

| 5-A | 5-B | 5-C | 5-D |
|-----------------------|--------------------|-------------------|----------------------|
| Essex County | Accomack County | James City County | Chesapeake City |
| Gloucester County | Northampton County | Poquoson City | Norfolk City |
| King and Queen County | | Williamsburg City | Portsmouth City |
| King William County | | York County | Virginia Beach City |
| Lancaster County | | Hampton City | Franklin City |
| Mathews County | | Newport News City | Isle of Wight County |
| Middlesex County | | | Southampton County |
| Northumberland County | | | Suffolk City |
| Richmond County | | | |
| Westmoreland County | | | |

Richmond County
Northampton County
York County

Region V