Report on Provider Compliance with Quarterly Review of Serious Incidents & Annual Systemic Risk Assessment

Reporting Period: 1/1/2026 – 6/30/2026

The provider shall collect, maintain, and review at least quarterly all serious incidents, including Level I serious incidents, as part of the quality improvement program in accordance with 12VAC35-105-620 to include an analysis of trends, potential systemic issues or causes, indicated remediation, and documentation of steps taken to mitigate the potential for future incidents.

Regulation	Number of Services Compliant	Number of Services Reviewed	Percentage of Services Compliant
160.C	709	843	87%

The provider shall conduct an annual systemic risk review at least annually. The risk review shall address at least the following:

Regulation	Requirement	Number of Services Compliant	Number of Services Reviewed	Percentage of Services Compliant
520.C1	The environment of care	726	849	86%
520.C2	Clinical assessment or reassessment processes	713	848	84%
520.C3	Staff competence and adequacy of staffing	733	848	86%
520.C.4	Use of high risk procedures, including seclusion and restraint	701	849	83%
520.C5	A review of serious incidents	672	849	79%
520.D	The systemic risk assessment process shall incorporate uniform risk triggers and thresholds as defined by the department	714	845	85%